

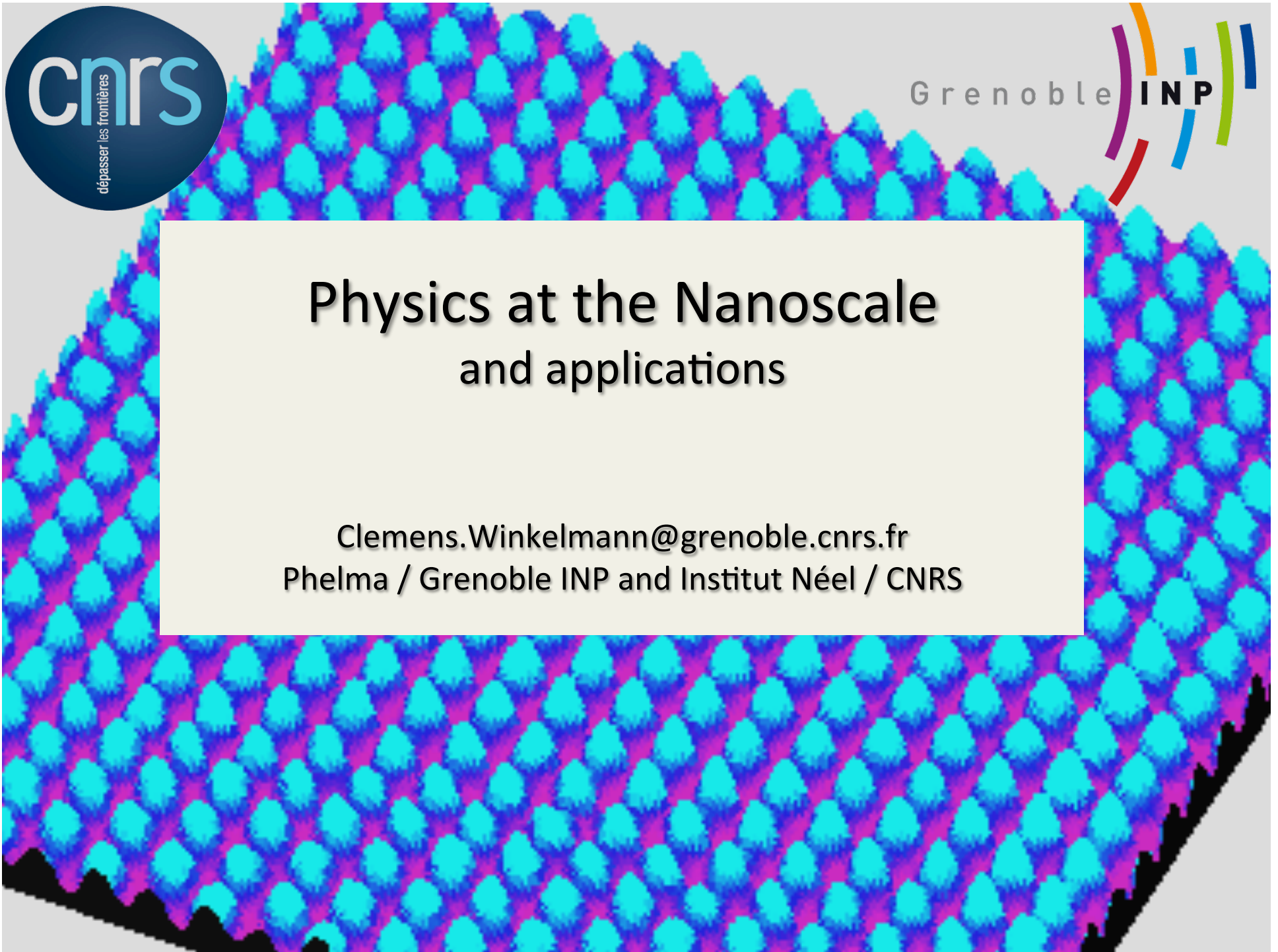


Grenoble INP



# Physics at the Nanoscale and applications

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Phelma / Grenoble INP and Institut Néel / CNRS



# Physics at the Nanoscale

I Basics of quantum mechanics

II Statistical Physics

**III Forces at the nanoscale and applications to AFM**

IV Electron tunneling and applications

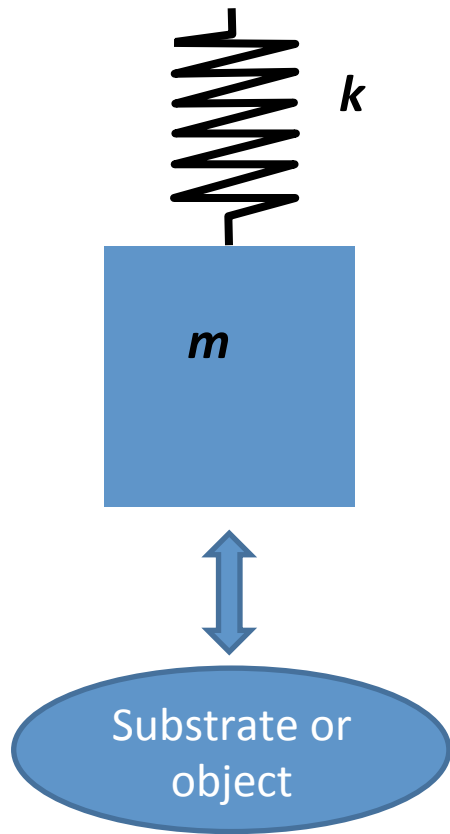
V Quantum electronic transport

# Forces at the nanoscale

- 1/ Introduction.**
- 2/ Capacitive forces.**
- 3/ van der Waals forces.**
- 4/ Casimir Force.**
- 5/ Application to Scanning Probe Microscopy.**

Thanks to Thierry Ouisse for providing his lecture on the subject

# General equation of a first-order mechanical system



2<sup>nd</sup> Newton's law:  $\mathbf{F} = m\boldsymbol{\gamma}$

$$m\ddot{z} = -kz - \beta\dot{z} + F_{exc} + F_{int}$$

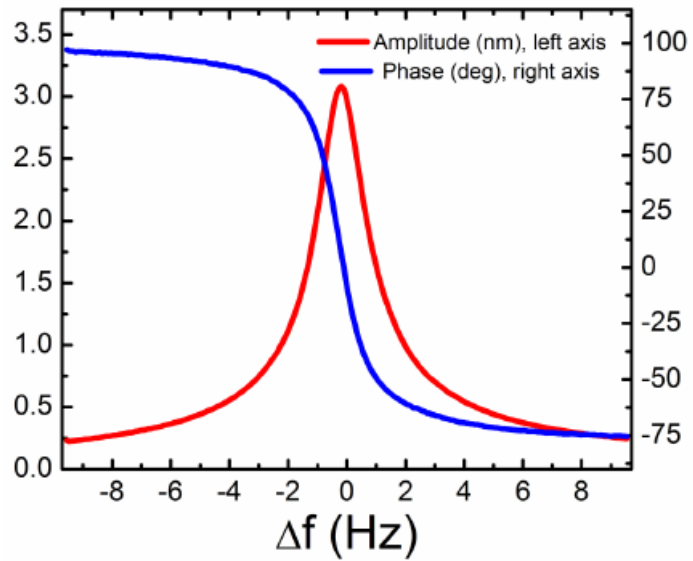
spring force →  $-kz$   
damping →  $-\beta\dot{z}$   
forced excitation →  $F_{exc}$   
interaction with something else →  $F_{int}$

If interaction is small:

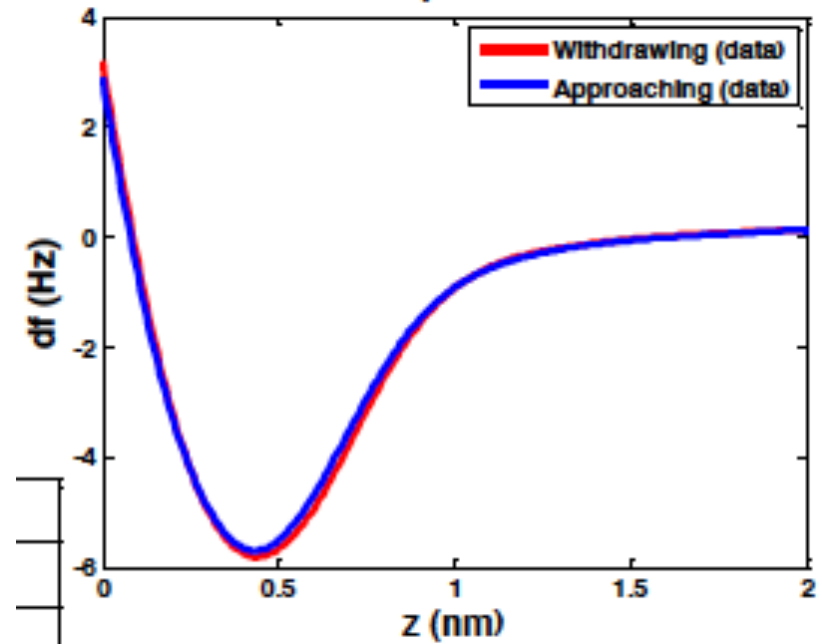
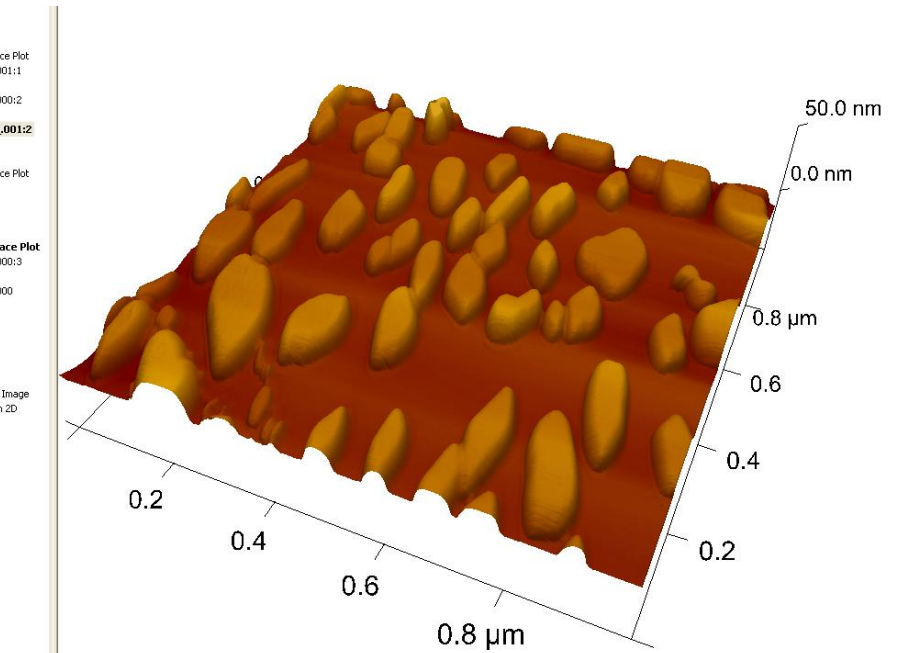
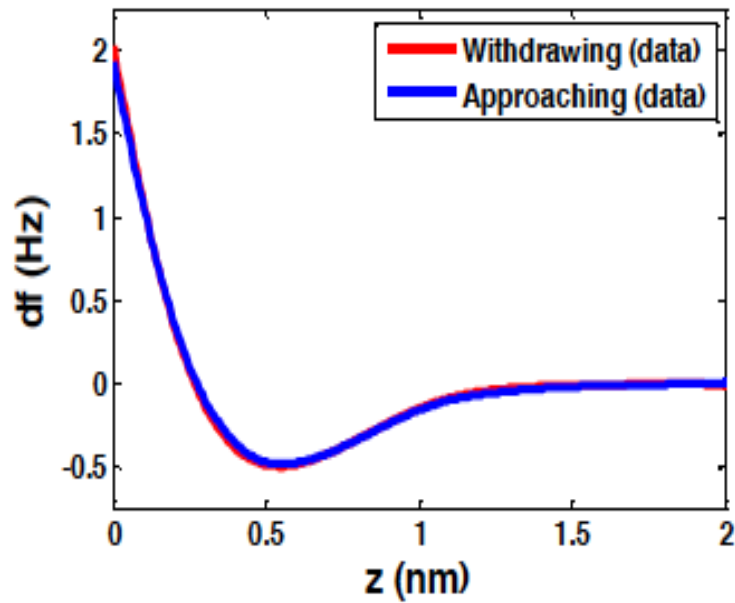
$$F_{int} = F|_{z=z_0} + (z - z_0) \frac{\partial F}{\partial z} \Big|_{z=z_0} = F_0 + z\nabla F$$

$$\ddot{z} + \frac{k - \nabla F}{m} z + \frac{\omega_0}{Q} \dot{z} = (F_{exc} + F_0) / m$$

➔ The only noticeable modification imposed by a small interaction is a variation of the spring constant equal to the gradient of the force.



$$\Delta f = f - f_0 = \frac{f_0}{2k} \left( \frac{\partial^2 U_{ts}}{\partial z^2} \right)$$

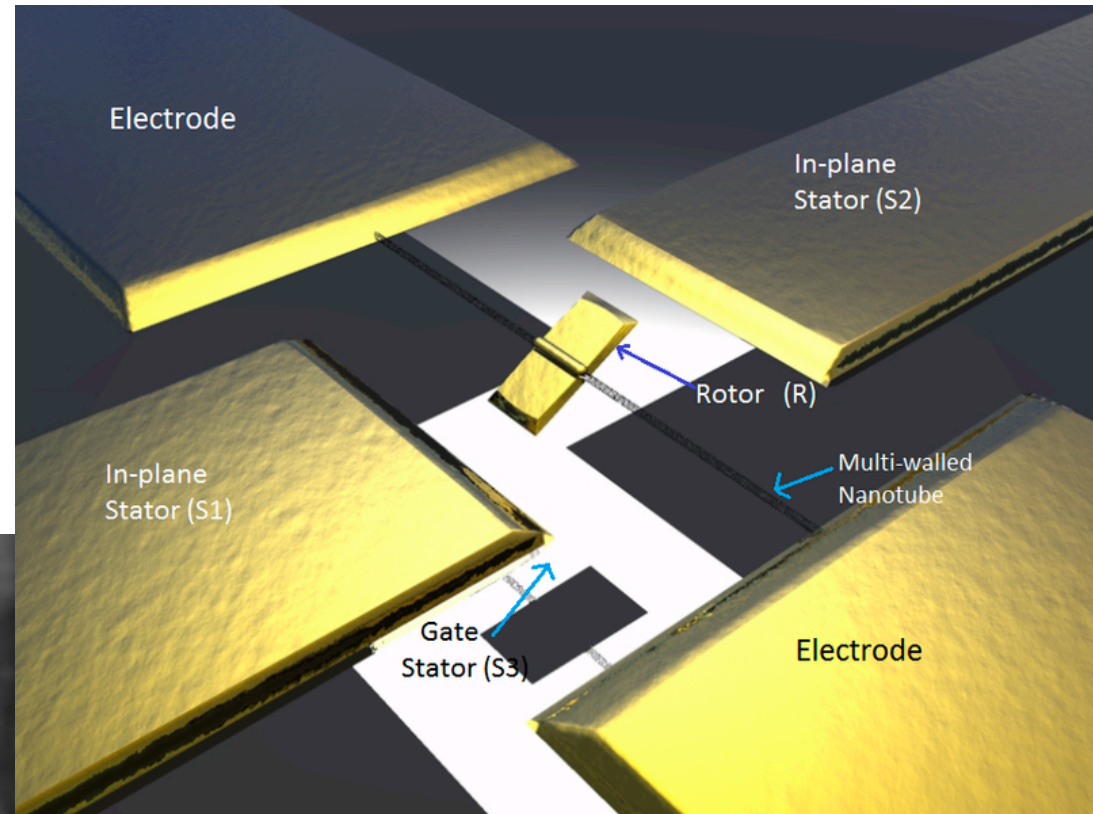
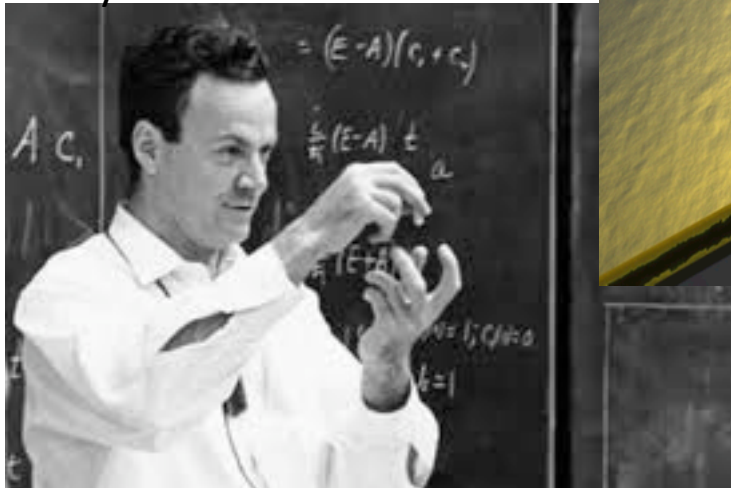


# 1.1 Introduction : Why study forces at the nanoscale ?

## Nano-electromechanical systems (NEMS):

- Size from 1 to 100 nm
- applications in sensors and actuators.

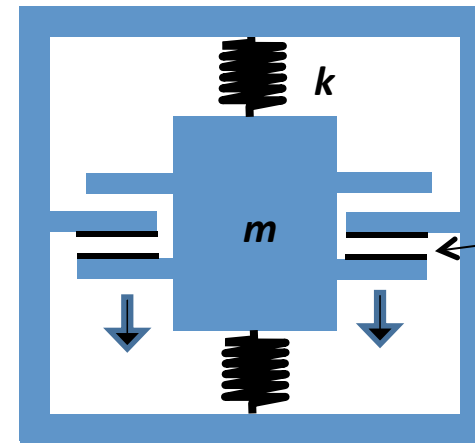
R. Feynman



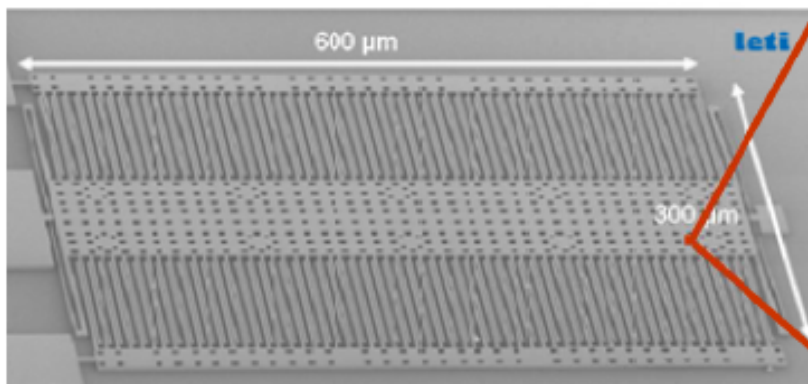
Carbon nanotube motor (A. Zettl, 2003)

# Accelerometry

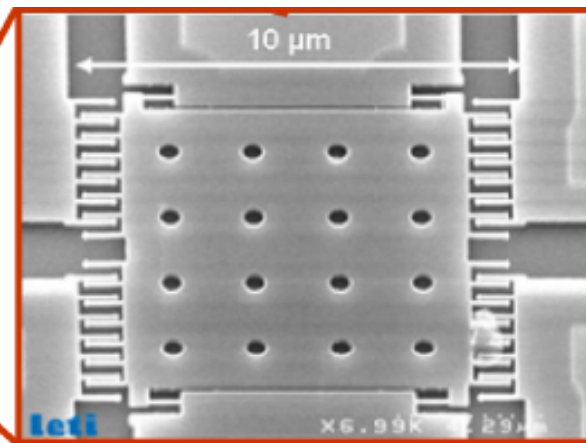
Applications : airbag (but no real need for miniaturisation), Ipod, videogames, mini-drones, etc.



$$I(t) = \frac{dQ}{dt} = \frac{\partial C}{\partial t} V$$

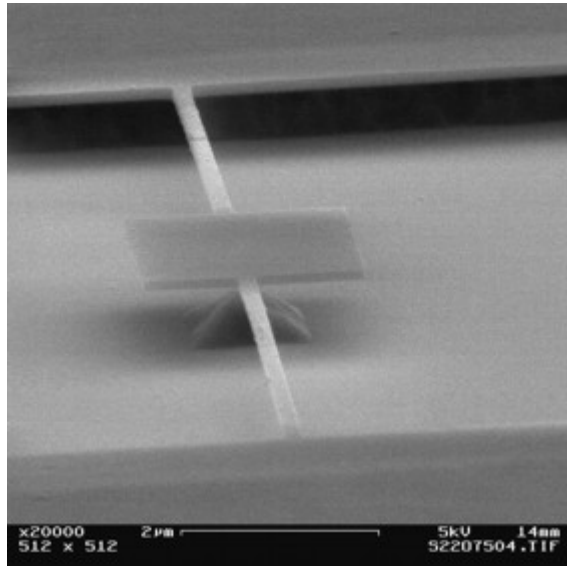


Micro-accelerometer



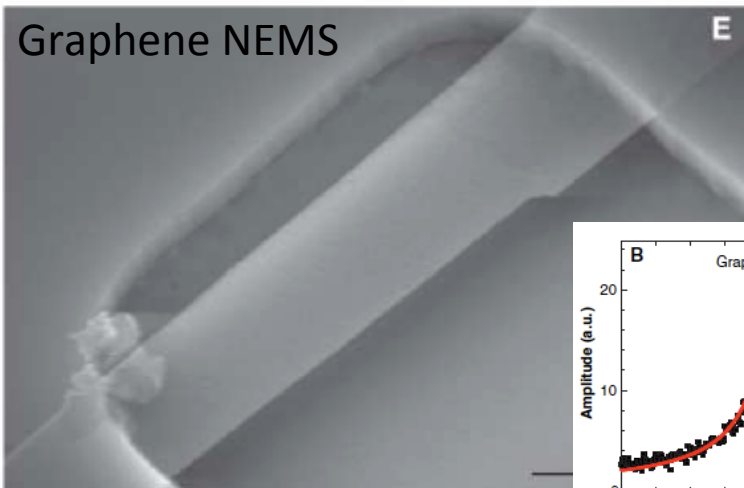
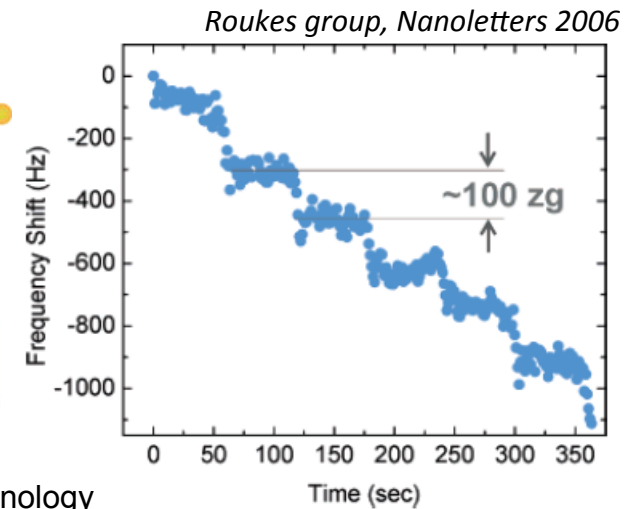
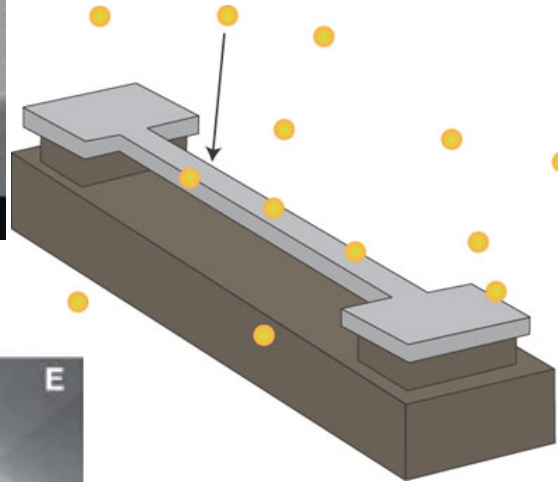
Nano-accelerometer

# Measuring small weight changes



Munich University

- The smaller the resonator,
- the lower the power consumption and dissipation.
- the higher the operating frequency.



Bunch et al., Science 2007

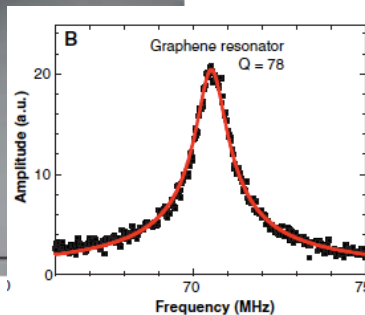
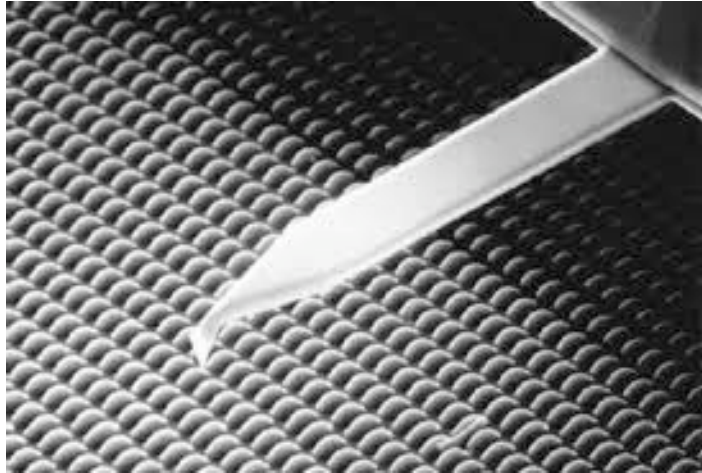


Image credit: Nature nanotechnology

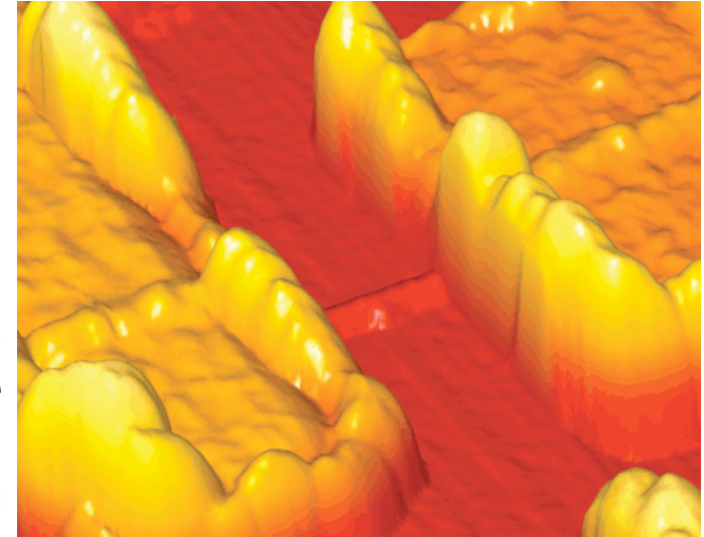
## Applications in chemical & biological detection



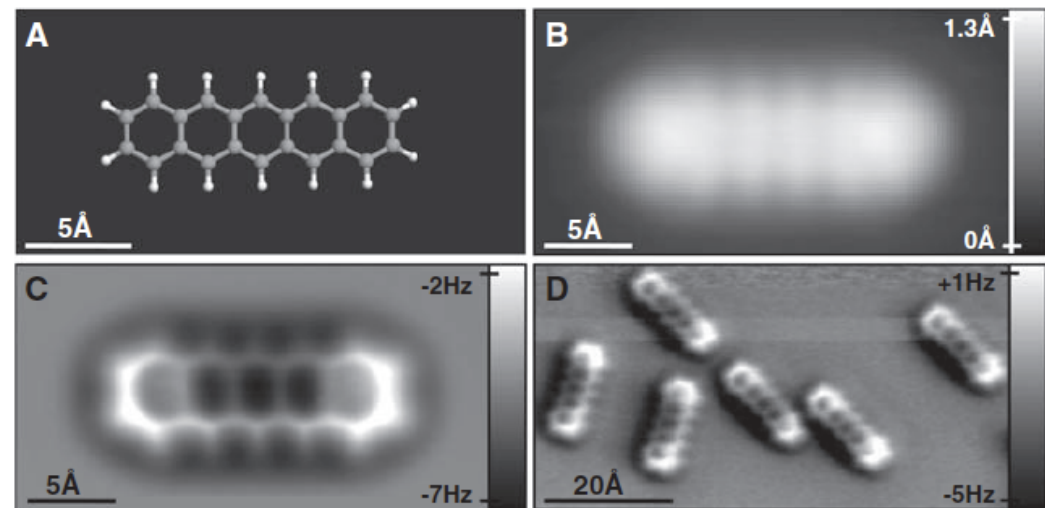
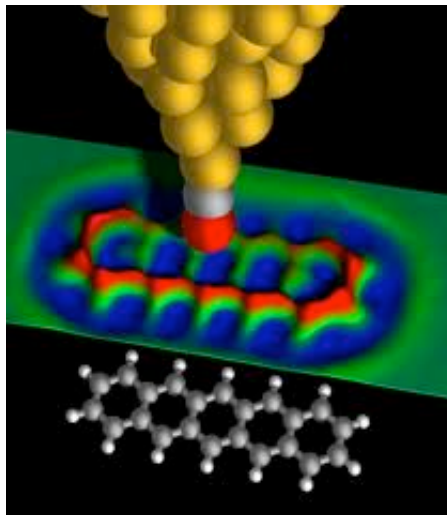
# Atomic force microscopy



nc-AFM image of a  
carbon nanotube  
junction  
Institut Néel

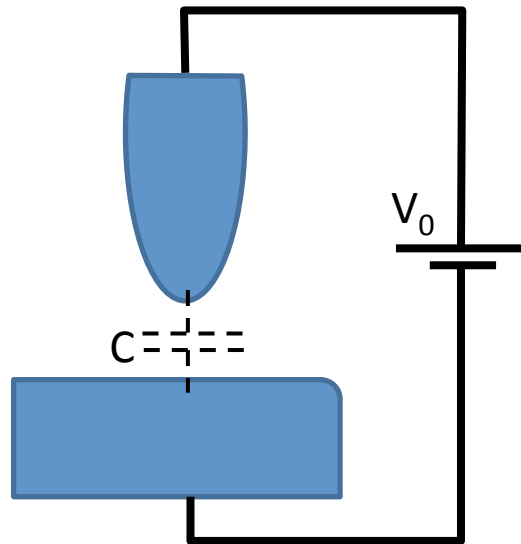


nc-AFM on  
pentacene  
IBM Zürich



# 1.2 Capacitive forces

Capacitive forces often prevail when objects are conducting, but this depends on the applied voltage and surface areas.



**Energy of the capacitor:**

$$W = \frac{1}{2} CV^2$$

**Force exerted between the plates:**

$$F_{el} = \frac{1}{2} \frac{\partial C}{\partial z} V^2$$

$$F_{el} = \frac{1}{2} \frac{\partial C}{\partial z} (V_0 + \Delta\phi)^2$$

externally applied voltage

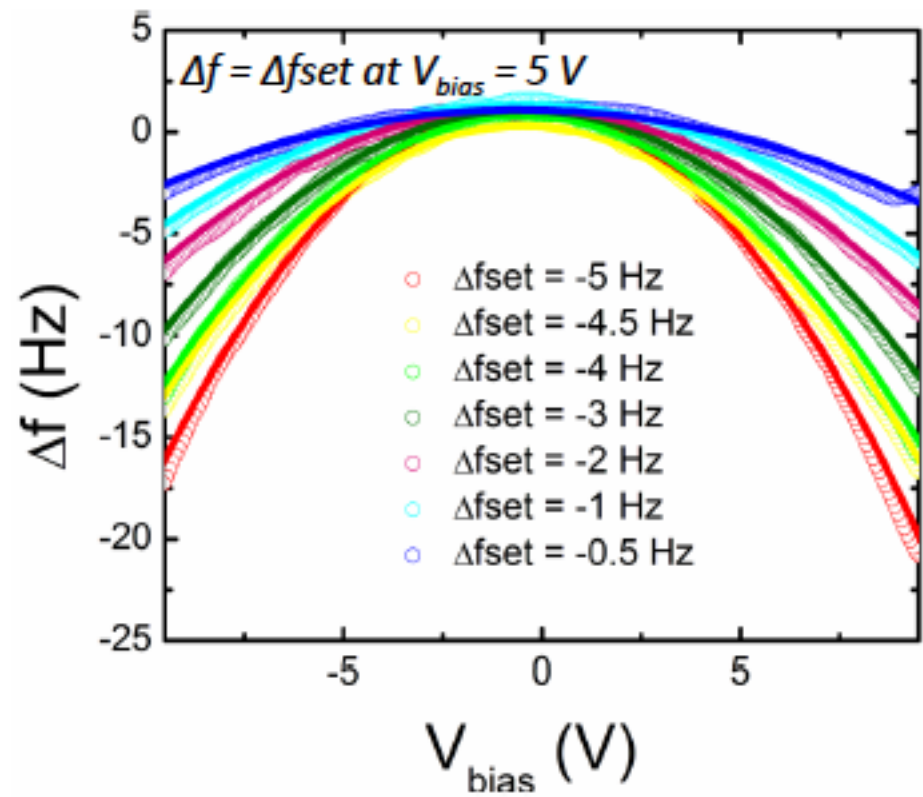
work function difference

With an additional alternating voltage  
the force component at frequency  $\omega$  is

$$V = \Delta\phi + V_P \cos(\omega t)$$

$$F_{el} (at \omega) = \frac{\partial C}{\partial z} \Delta\phi \cdot V_P \cos(\omega t)$$

$$\Delta f = \frac{f_0}{4k} \frac{d^2 C}{dz^2} (V - V_{CP})^2 + \frac{f_0}{2k} \frac{d^2 U_{ts}}{dz^2}$$



$$(V_{CP})_{mean} = (-0.51 \pm 0.05) \text{ volt}$$

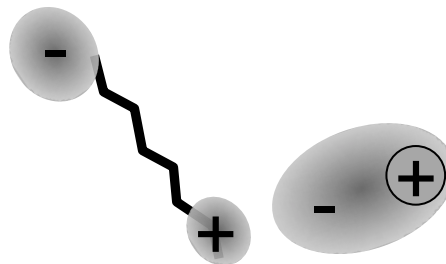
## 1.3 van der Waals forces



**van der Waals forces** : dipole or induced-dipole interactions

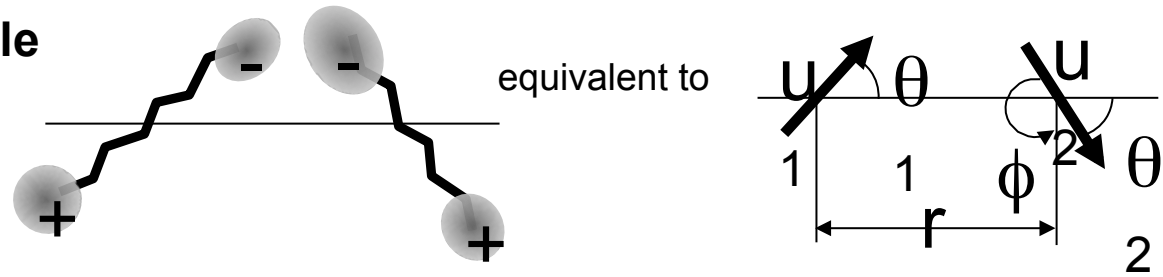
three possible contributions:

- Interaction between permanent and orientable dipoles (**Keesom interaction**).
- Interaction between polar and polarisable molecules (**Debye interaction**).
- Instantaneous dipolar interaction even between initially neutral atoms or molecules (**Dispersion or London forces**).



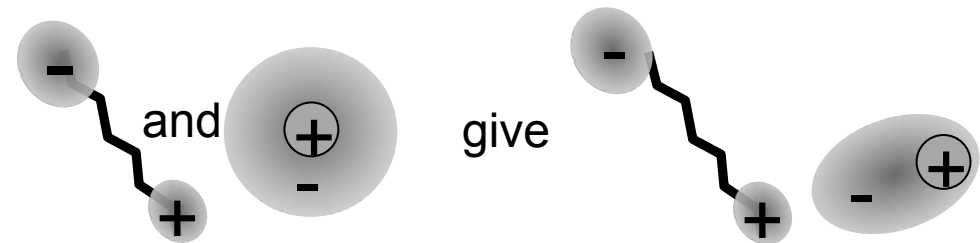
## Keesom contribution :

Interaction between polar molecules with permanent and orientable dipoles.



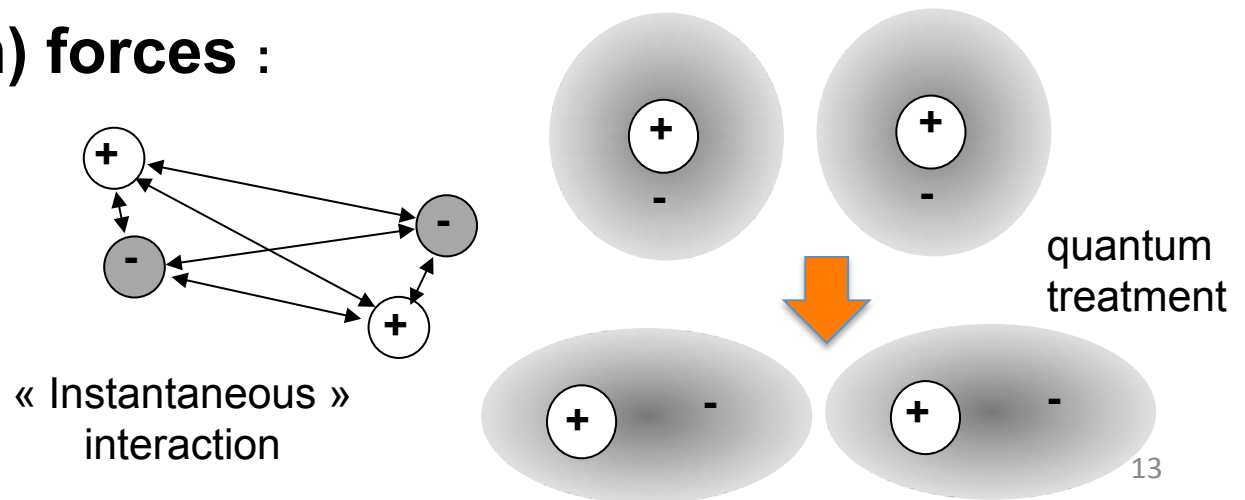
## Debye contribution :

Interaction between a polar molecule and a neutral but polarisable atom



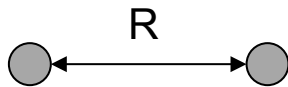
## London (dispersion) forces :

Quantum term in systems with no associated dipolar momentum



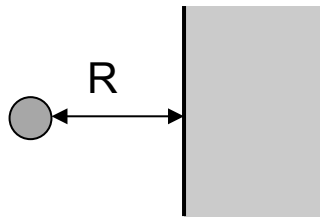
# Extension of Van der Waals forces to 3D objects

Two atoms



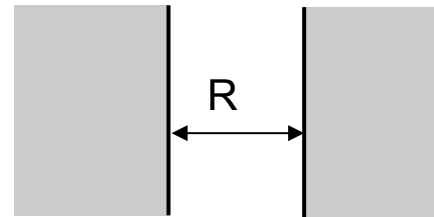
$$F = -\frac{C}{R^7}$$

atom-surface



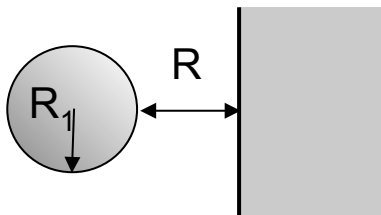
$$F = -\frac{\pi C \rho}{2R^4}$$

Two plane surfaces



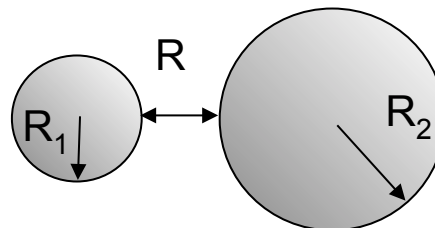
$$F = -\frac{H}{6\pi R^3}$$

Sphere-surface



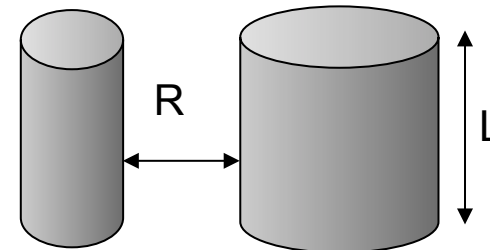
$$F = -\frac{HR_1}{6R^2}$$

Sphere-sphere



$$F = -\frac{R_1 R_2}{R_1 + R_2} \frac{H}{6R^2}$$

Parallel cylinders

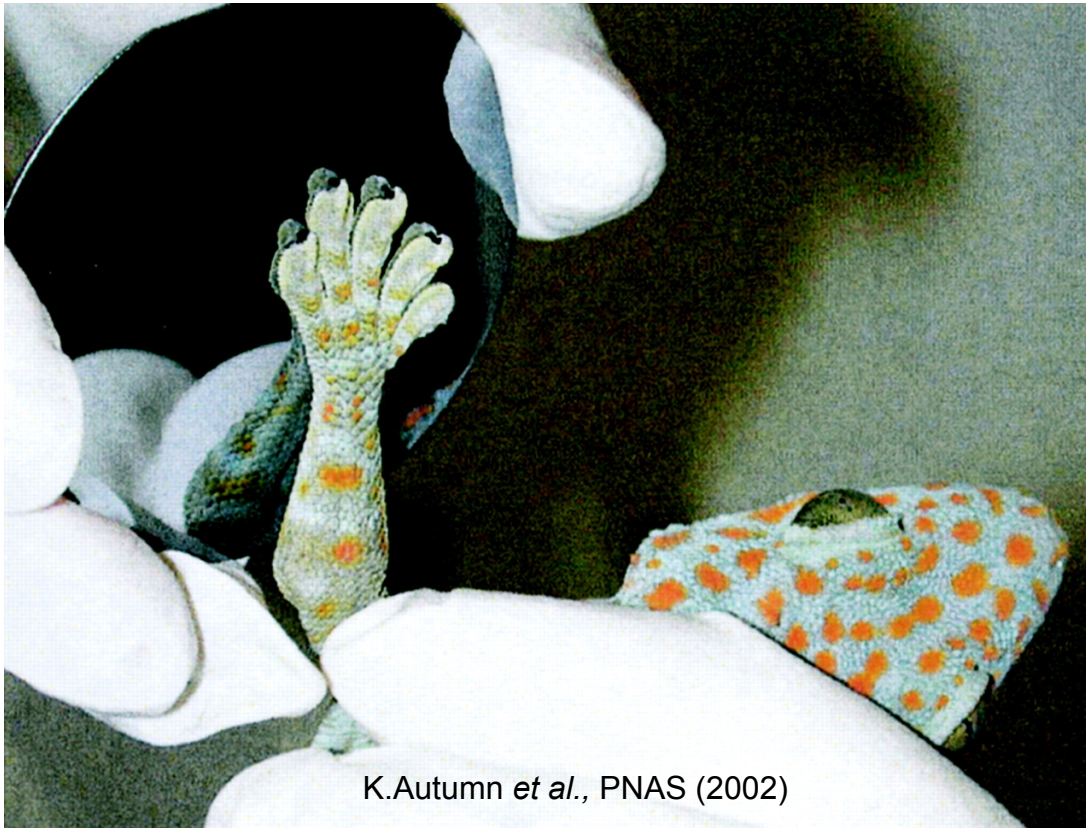


$$F = -\sqrt{\frac{R_1 R_2}{R_1 + R_2}} \frac{3HL}{24\sqrt{2}R^{5/2}}$$

Hamaker constant (material dependent)

$$H = \pi^2 C \rho_1 \rho_2 \approx 1eV$$

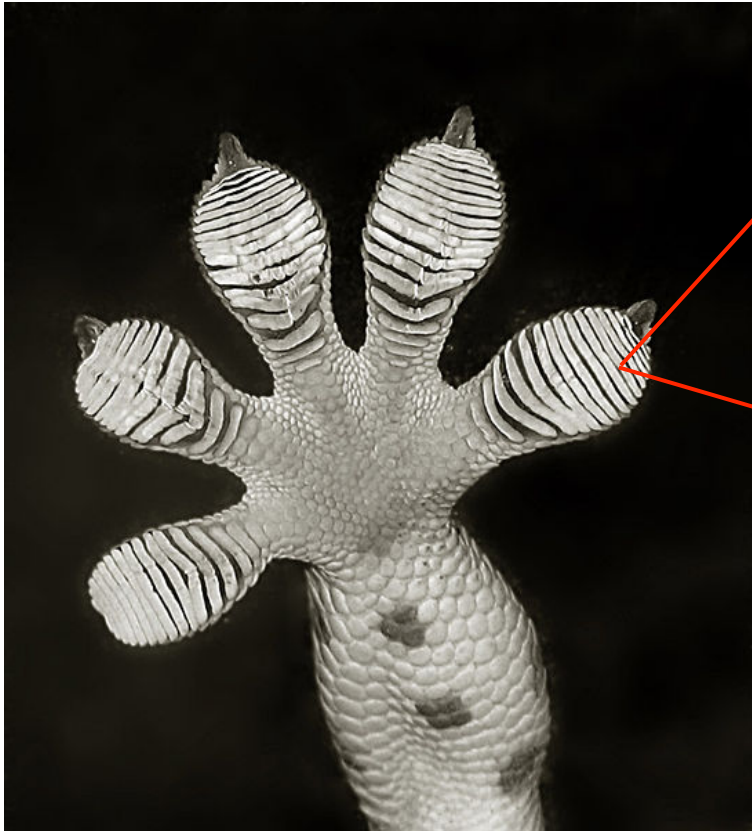
## Van der Waals forces



A gecko sticking its legs onto a perfectly hydrophobic GaAs surface.

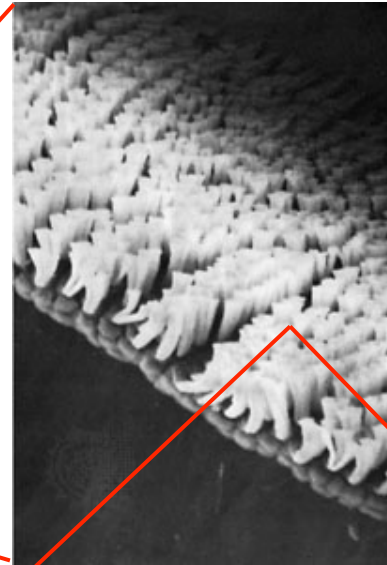


## Van der Waals forces

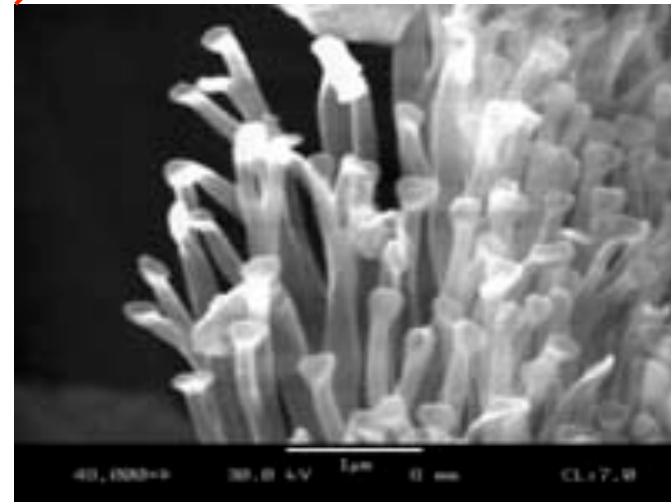


Source: wikipedia

**Details of a Gecko's leg, or how to get rid of surface roughness in order to walk on a ceiling.**



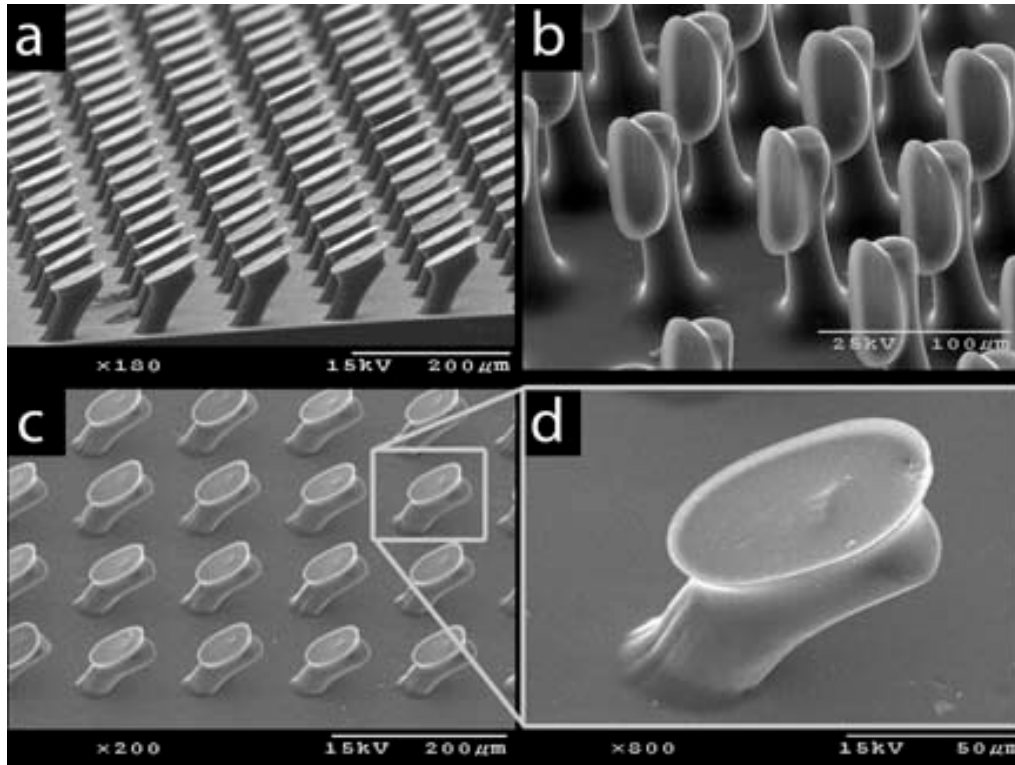
Source: S.F.Gennaro



Source: Nature publishing group



# Imitating the Gecko with nanostructures



Murphy *et al* (Pittsburgh University 2009)

## 1.4 Casimir force: a classical analogy

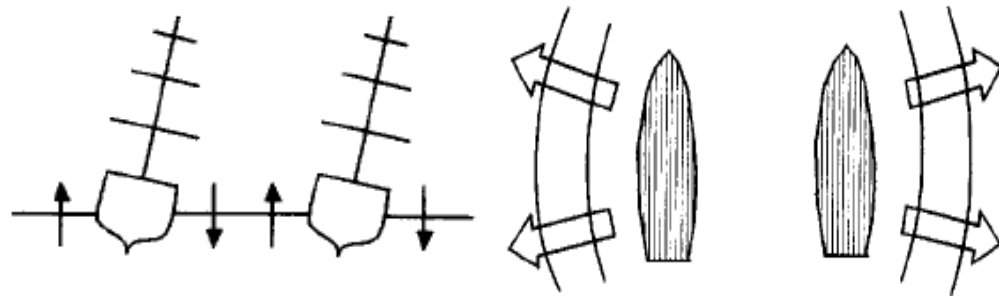


### Le Calme plat.

**L**ORSQUE deux bâtiments sont en calme, ils tendent toujours à se rapprocher et finissent par s'aborder, étant attirés l'un vers l'autre par une certaine force attractive; dans ce cas, on se sert des canots pour s'éloi-

gner, et on y parvient plus promptement en faisant remorquer l'un des bâtiments par les canots des deux. Les petits bâtiments ont de plus la ressource de leurs avirons de galère.

From J.C.Caussée, « l'album du Marin » (1836)



# Casimir pressure between two plates

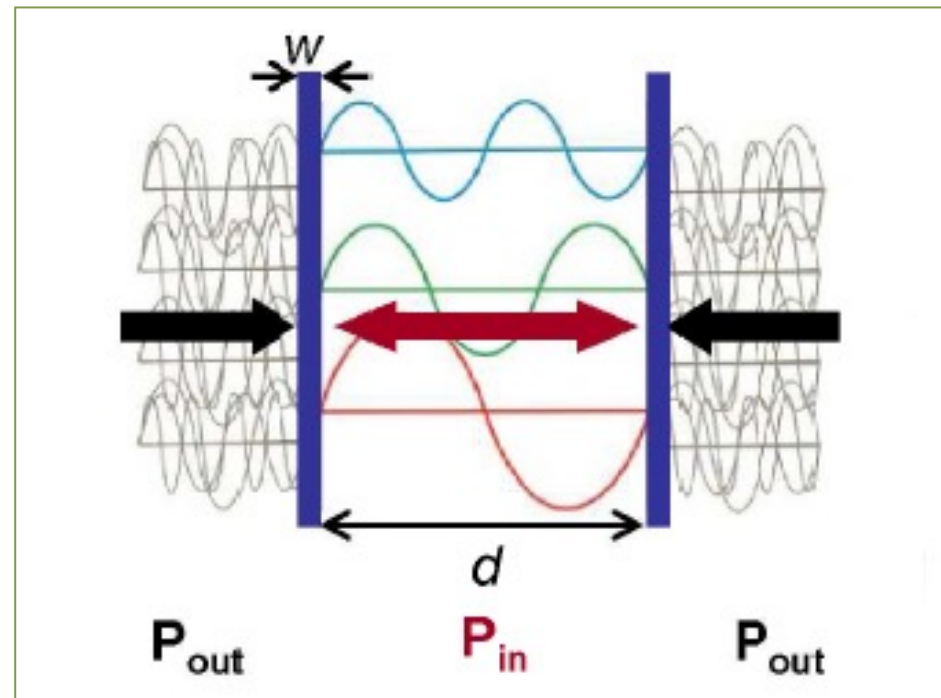
Interpretation :

- Quantum fluctuations create a radiation pressure.
- Two plates form an optical cavity:  
The number of electromagnetic modes and the energy density are not the same as outside.

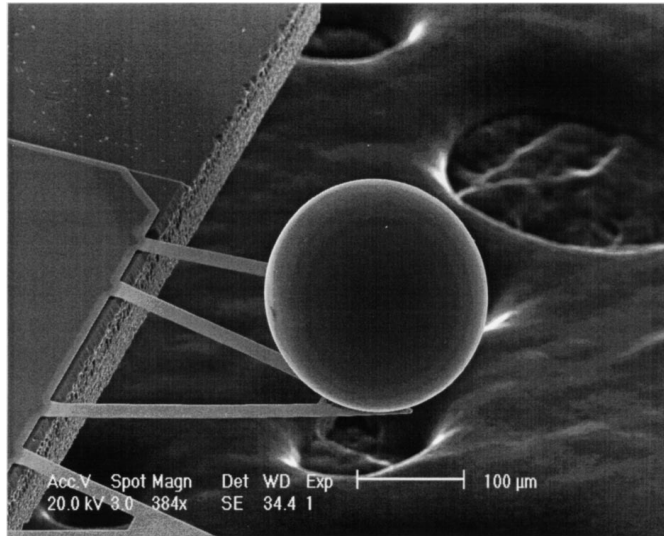
→ difference in the radiation pressure from quantum fluctuations inside and outside.

→ force which makes the plates get closer

$$F_{Casimir} = \frac{\hbar c \pi^2}{240 d^4} A$$

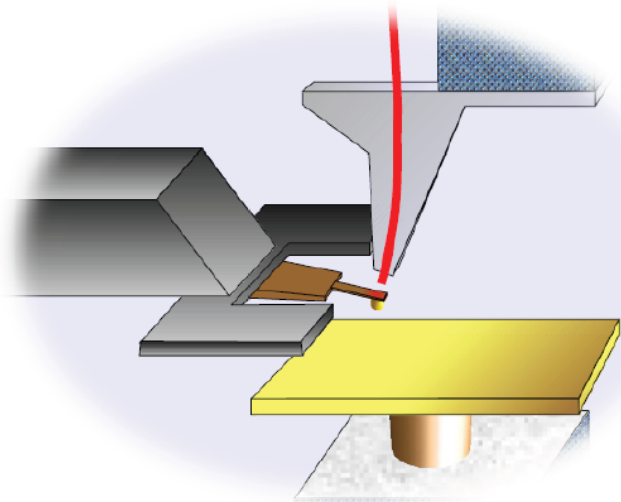
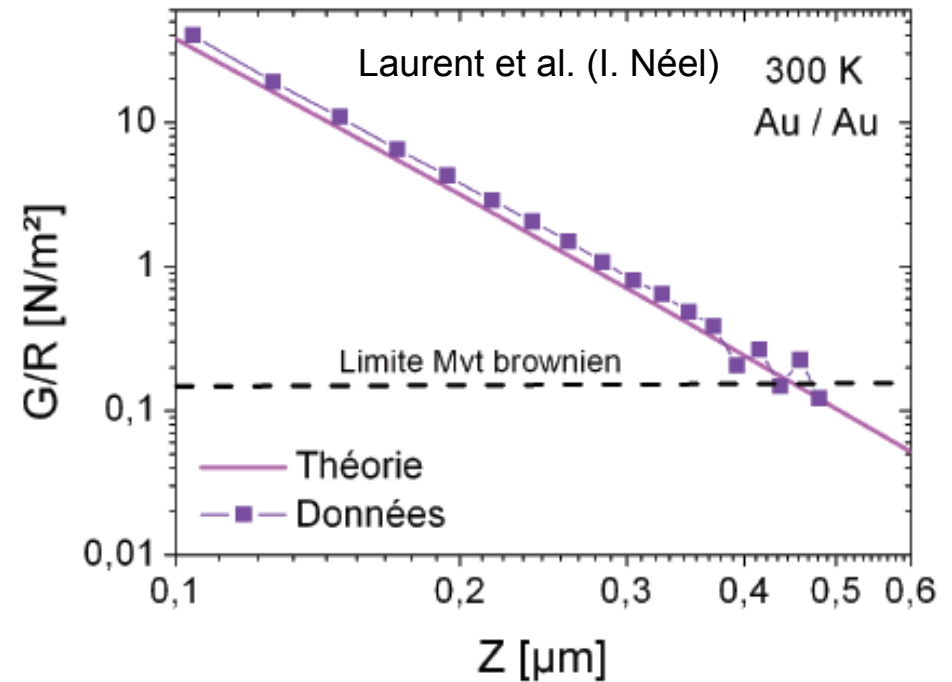


# Casimir force between a sphere and a plane



Mohideen et al., Phys. Rev. Lett. 1999

Careful AFM measurements show the transition between the Van der Waals and Casimir regime.



# Casimir : Relevance to NEMS

Casimir force can be rendered repulsive by changing the geometry or surface structure at the nanoscale

→ frictionless motion.

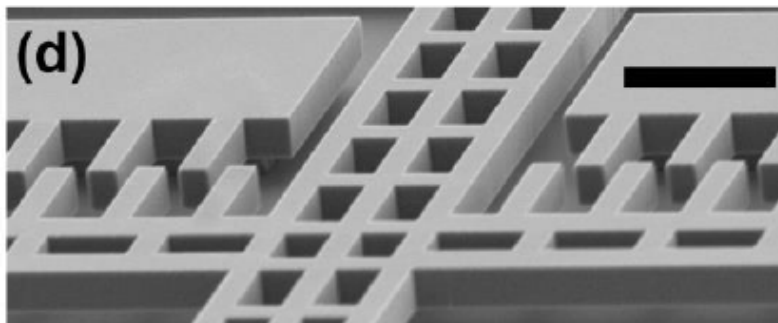
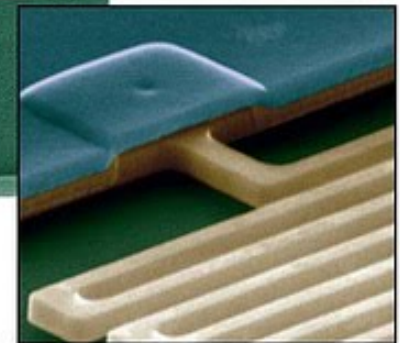
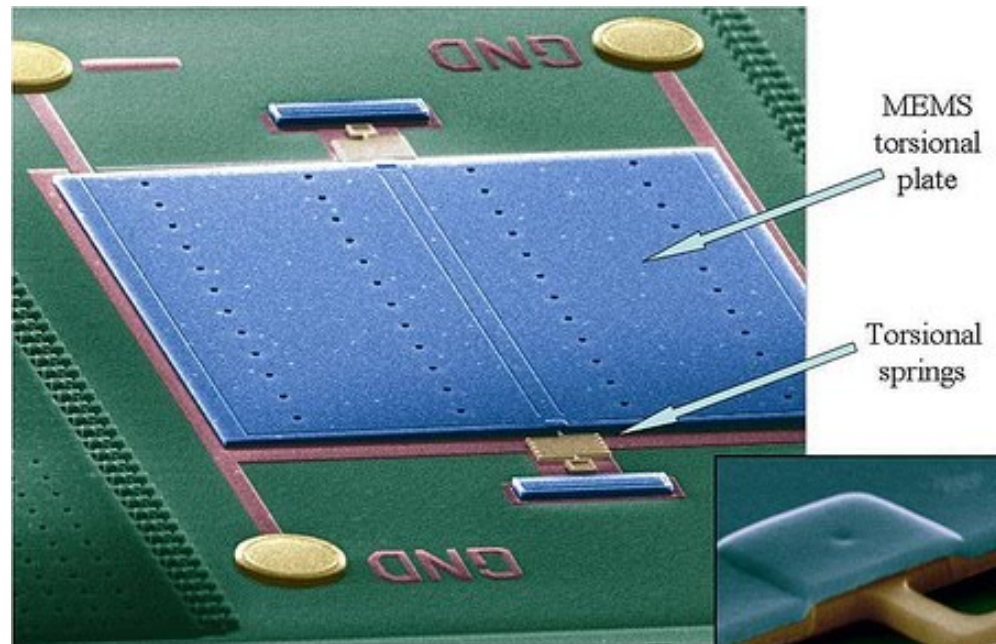


Photo credit: Argonne National Laboratory (USA)

# 1.6 Scanning Probe Microscopy

Diffraction limit to optical microscopy

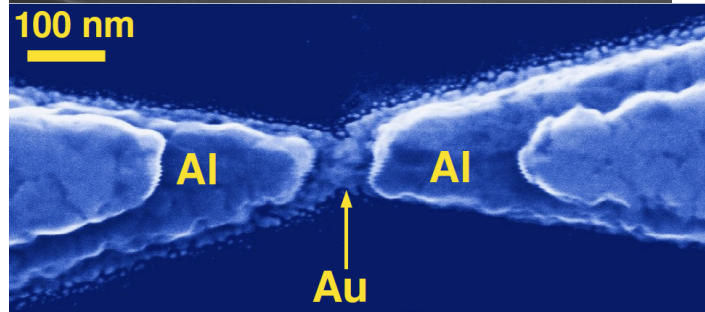
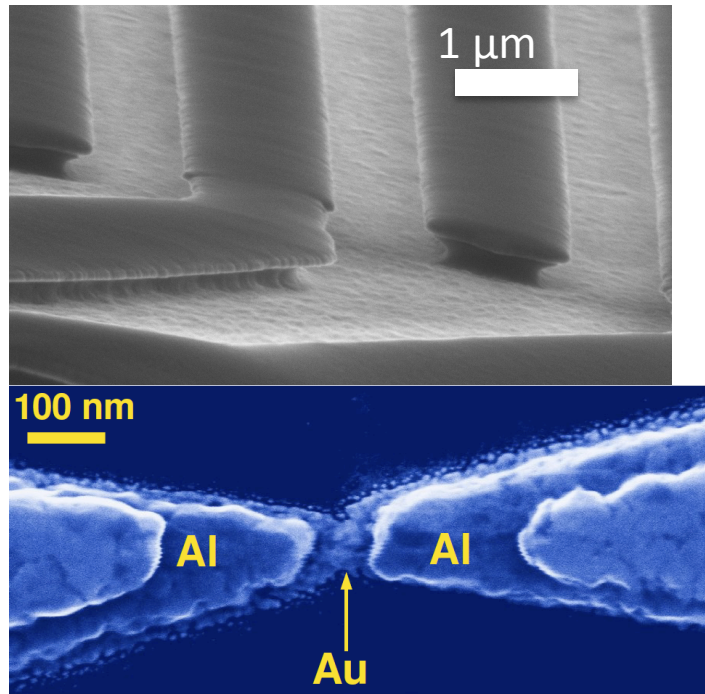
$$d = \frac{\lambda}{2n \sin \alpha}$$

**Two strategies:**

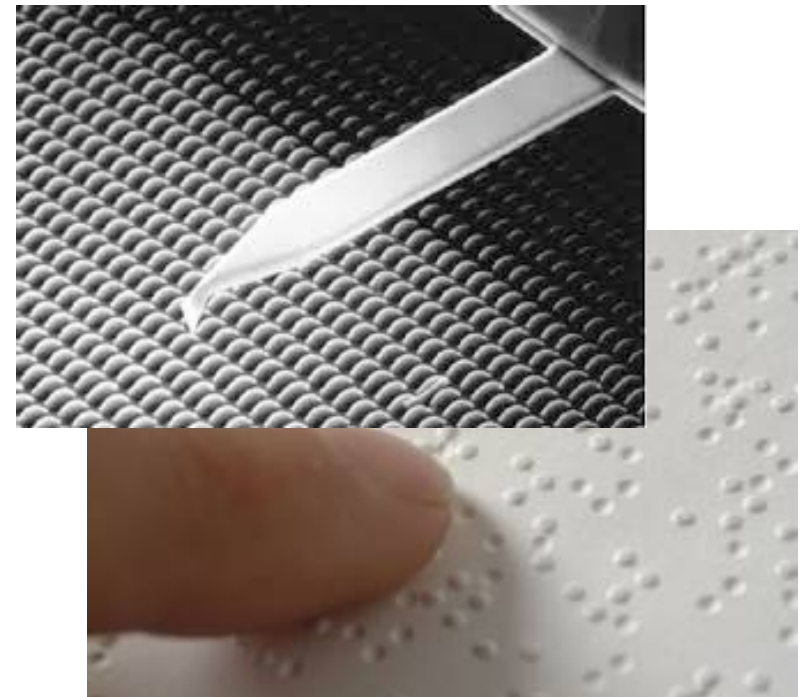
*Smaller wavelength « bullets »*

*Avoid propagating information*

Scanning electron microscopy



Scanning probe microscopy



# Requirements

- **Sensing**

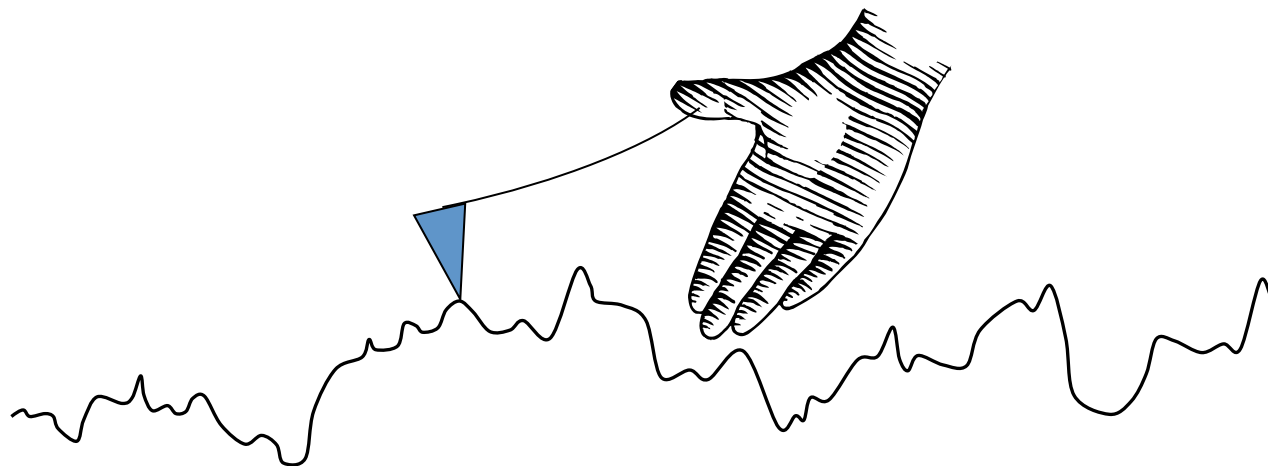
Evaluate the force between the tip and sample.  
→ measure the deflection of the cantilever.

- **Actuating**

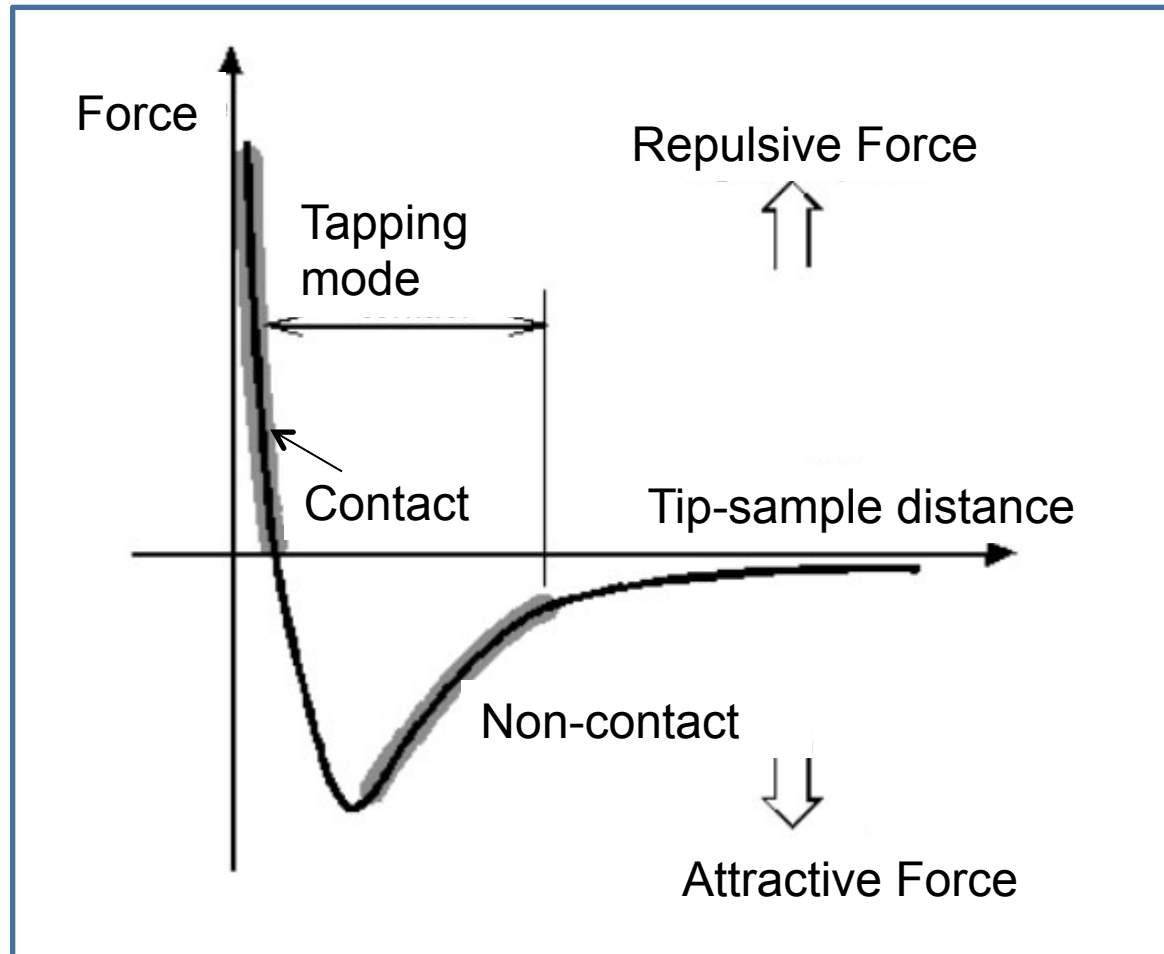
control the force between the tip and the sample

- **Feed-back loop**

Track the sample topography using a feed-back loop ensuring a constant deflection of the cantilever.



# Contact forces



Just for 2 atoms:

Lennard-Jones potential

$$U(r) \propto \left(\frac{\sigma}{r}\right)^{12} - \left(\frac{\sigma}{r}\right)^6$$

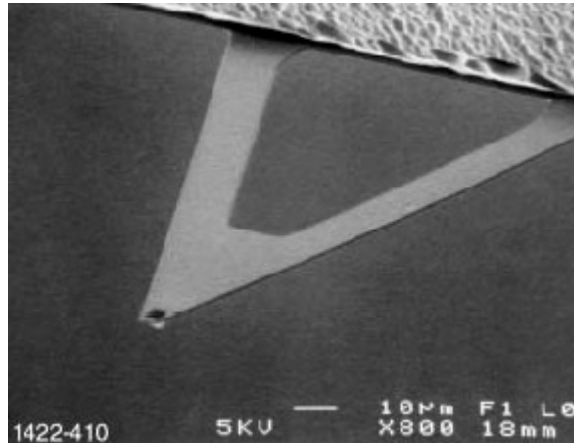
Hard core repulsion  
Electronic repulsion  
and Pauli principle

van der Waals term

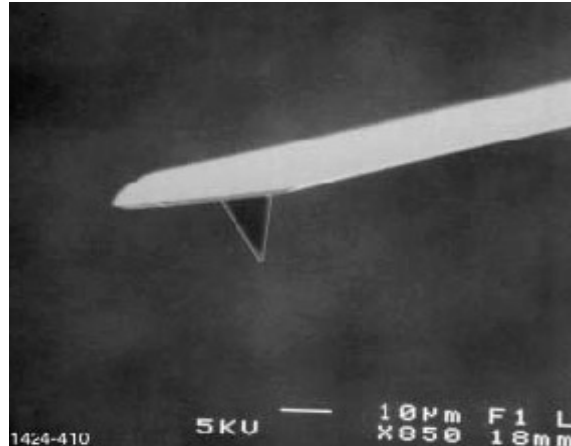
$$F(r) = -\frac{\partial U}{\partial r}$$



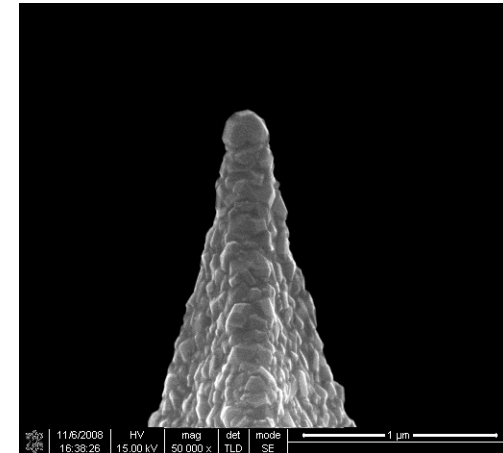
# AFM cantilevers and tips



Silicon nitride (« harder »)

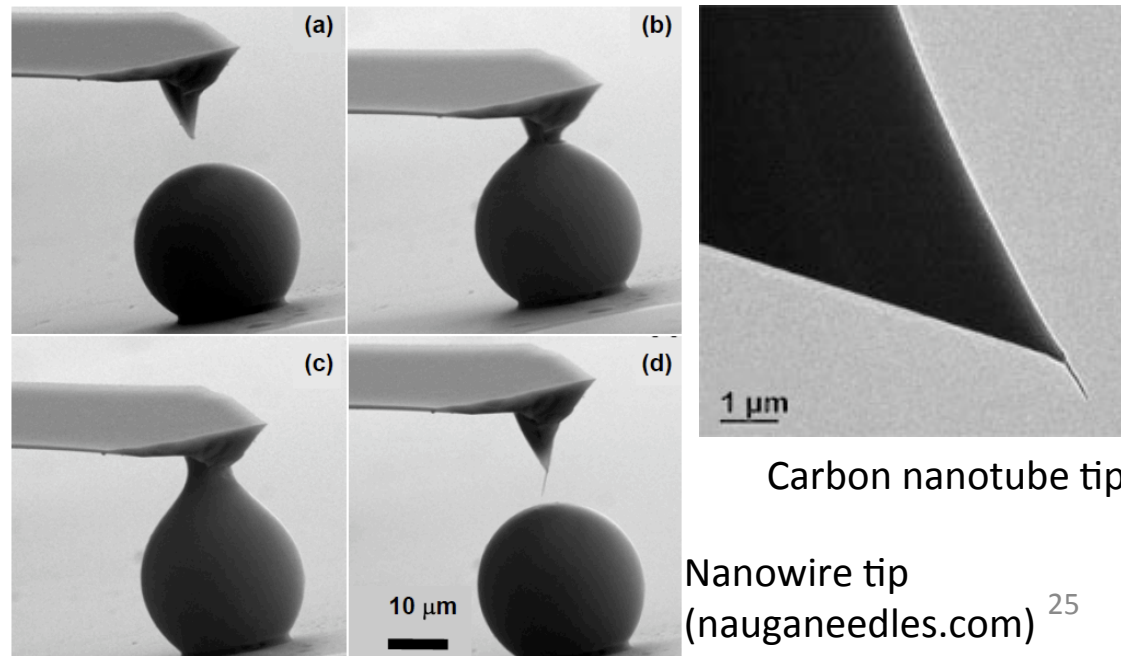


Silicon («more fragile »)



Diamond-coated tip (really hard)

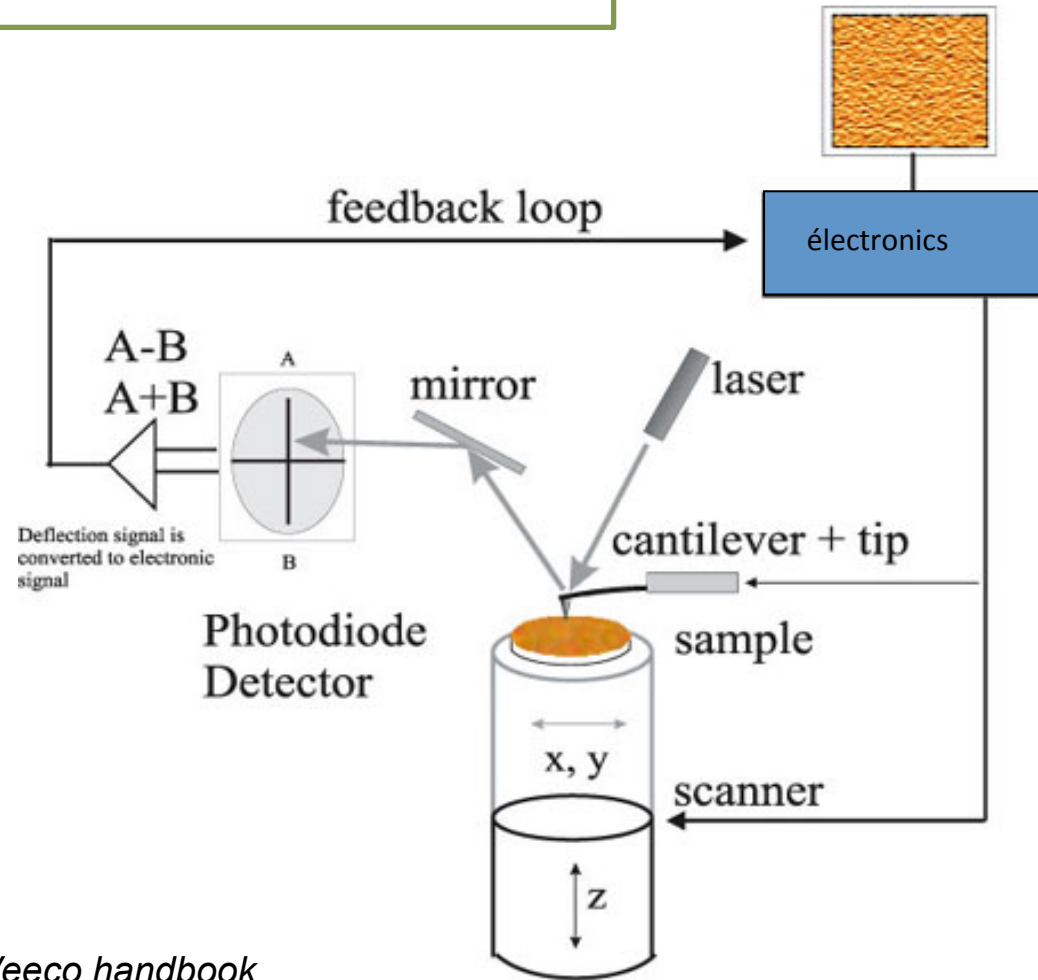
- Probes can be metallized.
- Geometry designed for a given spring constant (= force), or a given resonant frequency.
- Tip geometry determined by the etching procedure and the material properties.



Carbon nanotube tip

Nanowire tip  
(nauganeedles.com)<sup>25</sup>

# The AFM feed-back loop

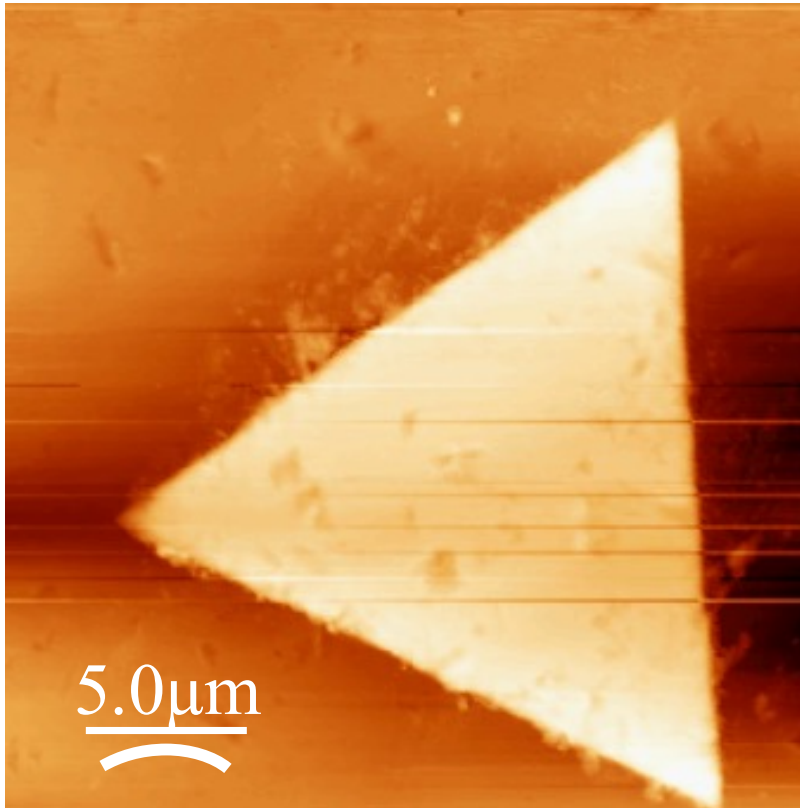


*From Veeco handbook*

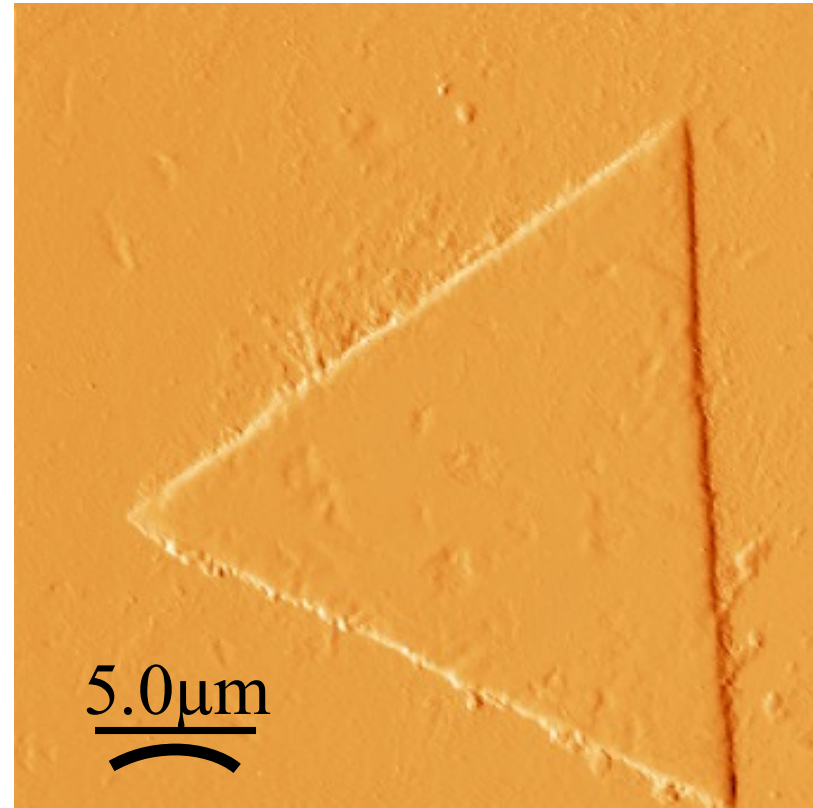
AFM

# What is a good image ?

Contact mode with deflection as the setpoint variable



Height

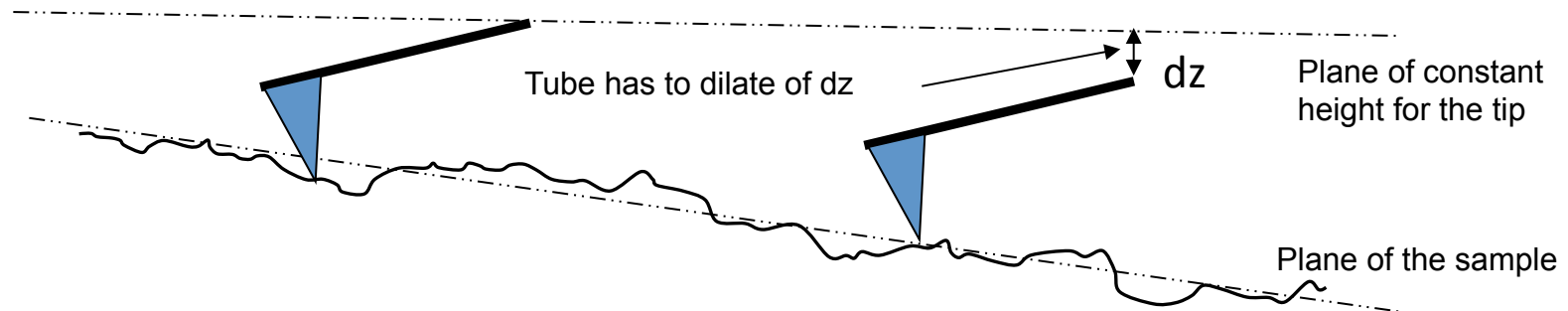


Deflection

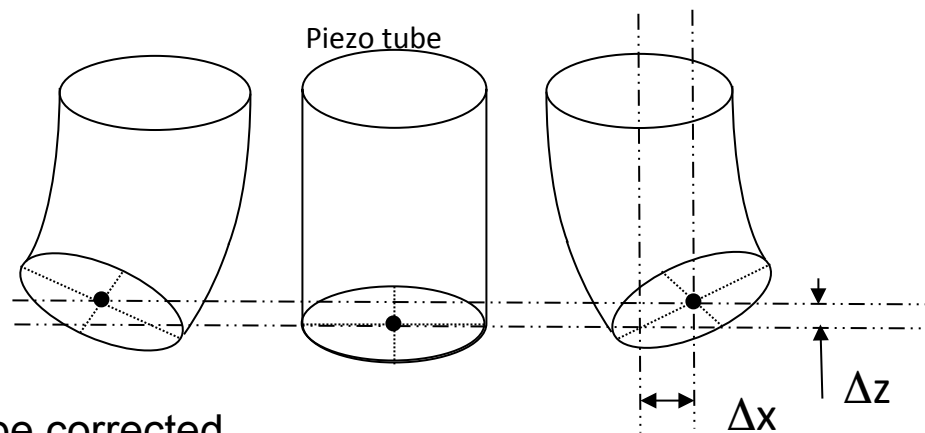
- 1/ Why do we obtain a contrast in the deflection image?
- 2/ How can we assess the image quality?

# Correcting the raw data

- Imperfect parallelism between the sample and the scanning plane

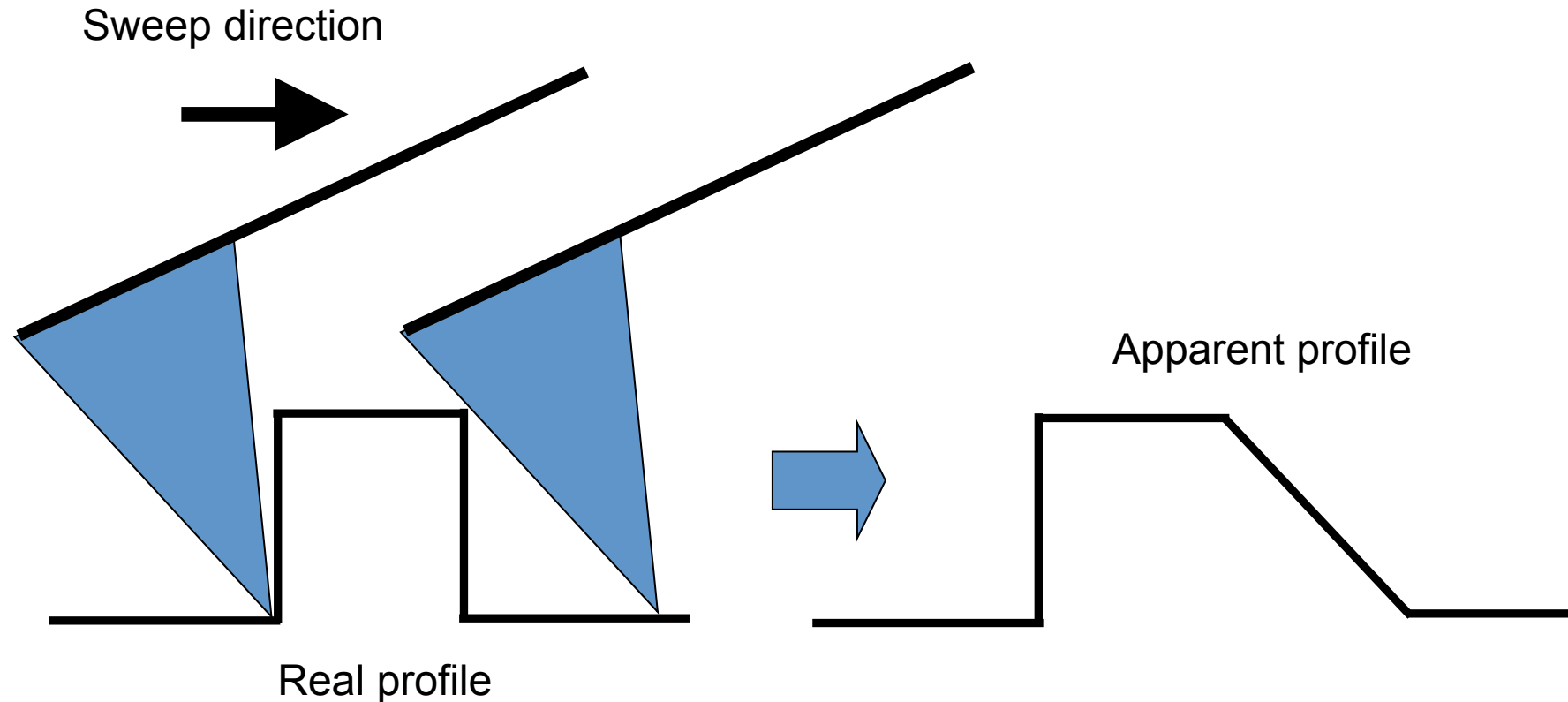


- For large  $x$  and  $y$  there is also a variation in  $z$  which has to be compensated by an additional dilatation of the piezotube.



➔ Images have to be corrected.

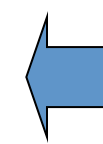
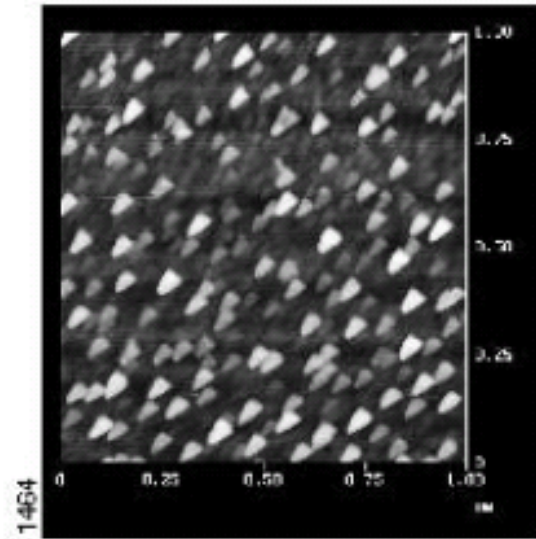
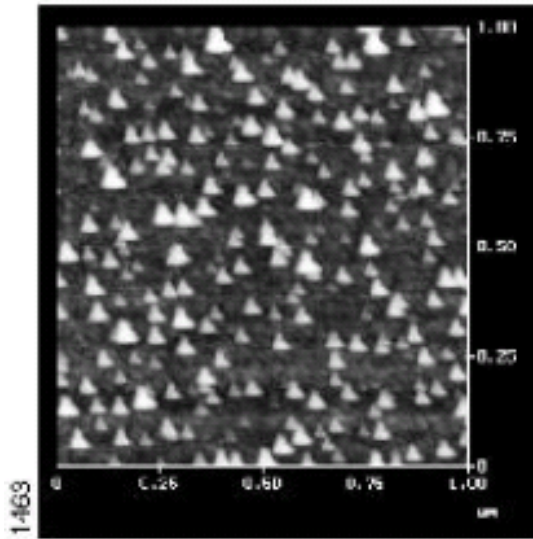
# Artefacts related to the shape of the tip



- The measured topography is a convolution of the real topography by the shape of the tip.

➔ To obtain good results the first condition is to use a sharp tip!

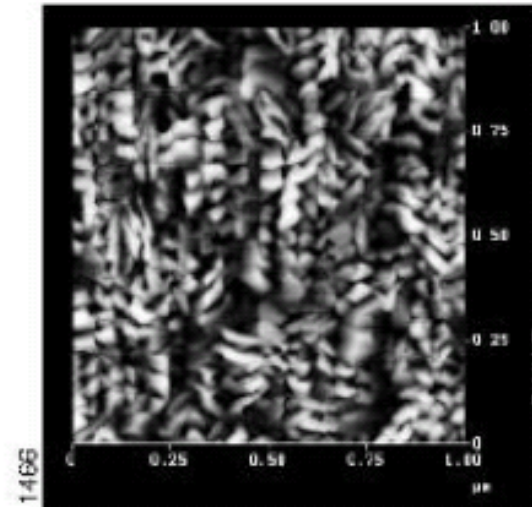
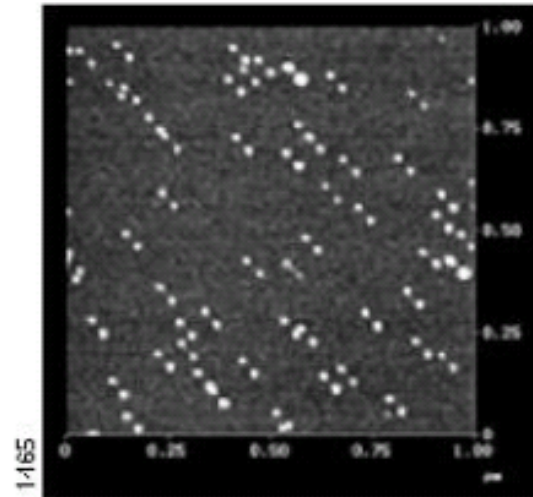
# Artefacts related to the shape of the tip



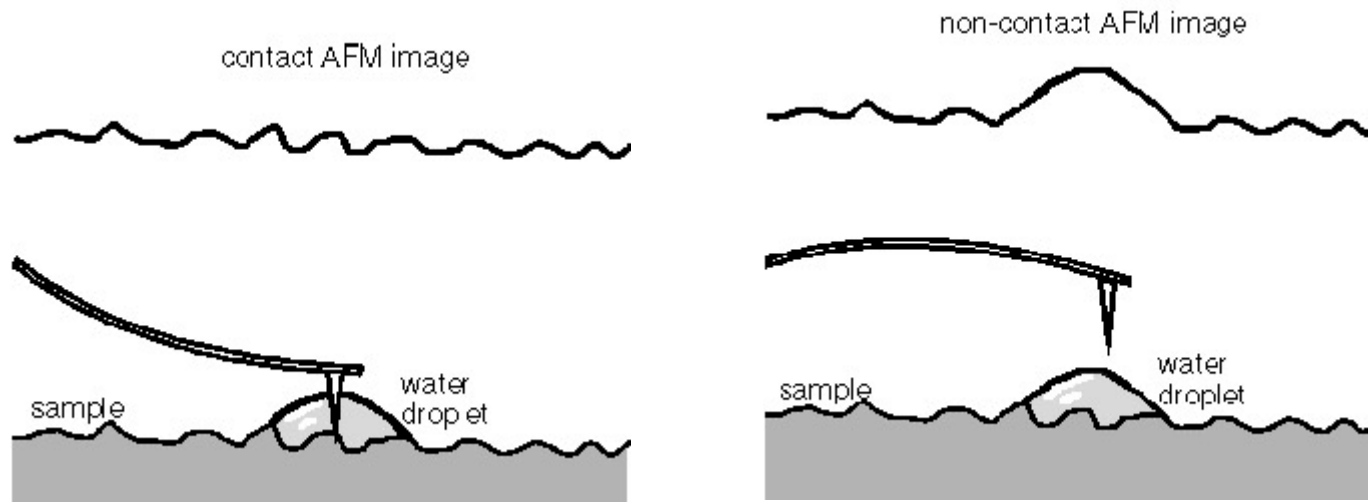
Dirty tip: all small patterns look similar.

*After Veeco handbook manual*

Double-tip



# Capillary forces



Atomic resolution requires ultra-high vacuum so as to get rid of the adsorbed water molecules.

# Atomic scale imaging

Au(111) on a glass observed in contact mode AFM.

Requires ultra-high  
vacuum (UHV)  
conditions

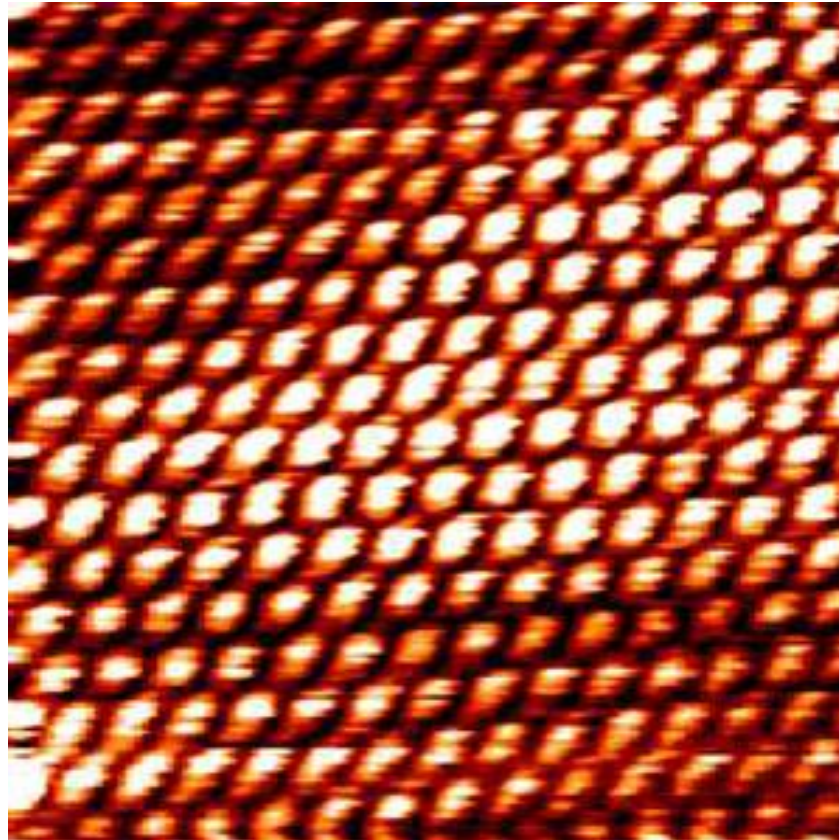
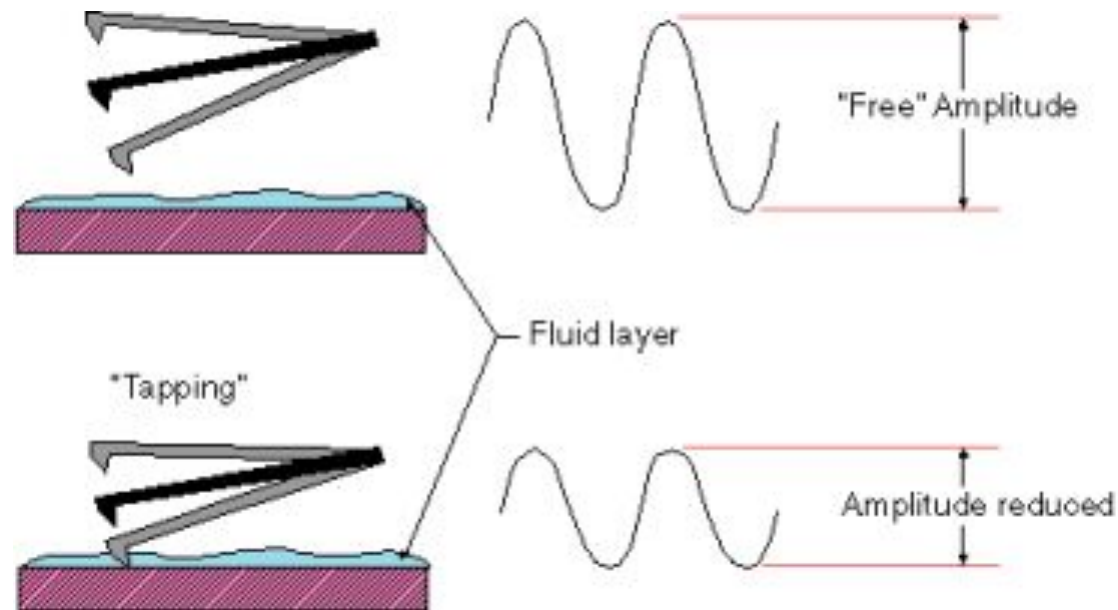


Image credit: Omicron



# Tapping mode AFM

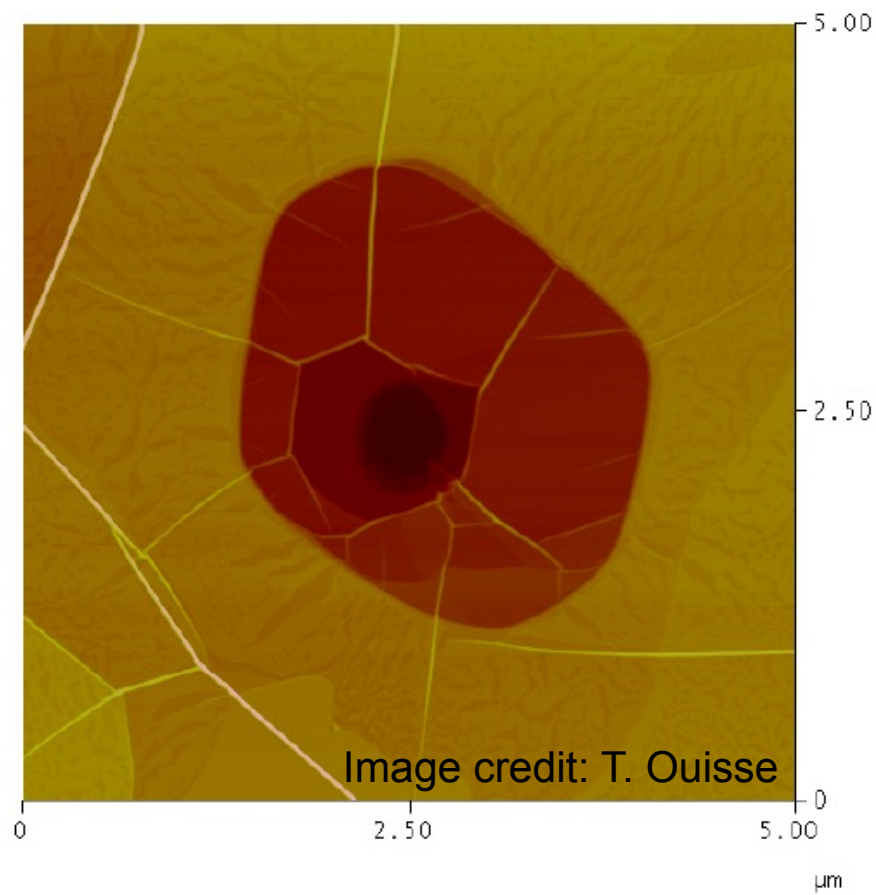
- The cantilever is excited by a piezo-electric actuator close to its mechanical resonance.
- Detect the modification of the forced oscillations by the small interaction between the tip and sample.



Feedback parameter: cantilever oscillation amplitude

# Images in non contact mode

Graphene on a silicon carbide substrate



Si(111) 7x7

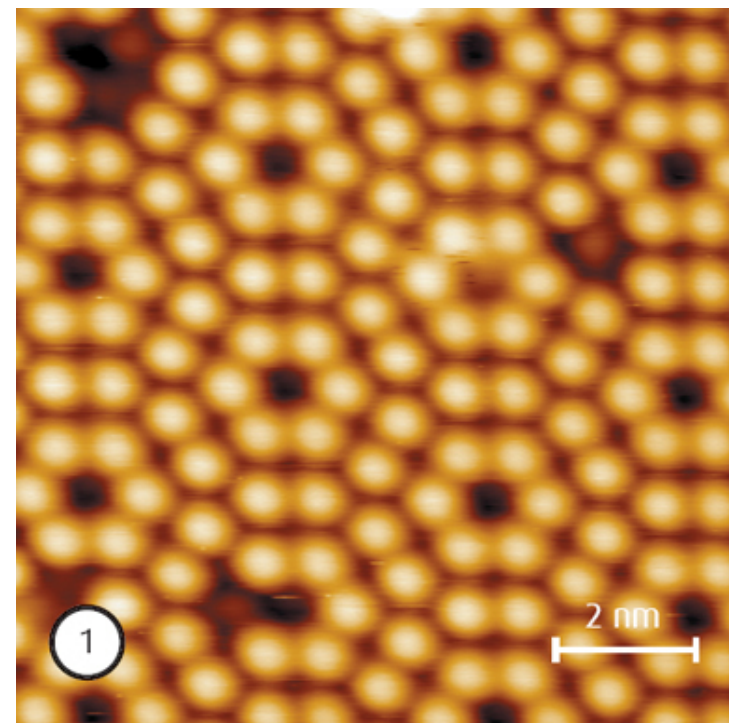
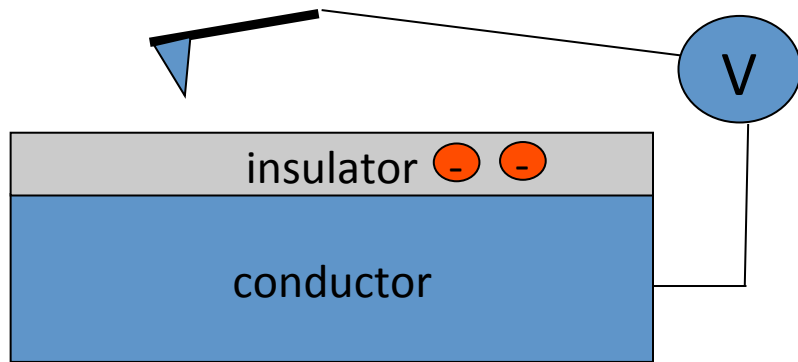


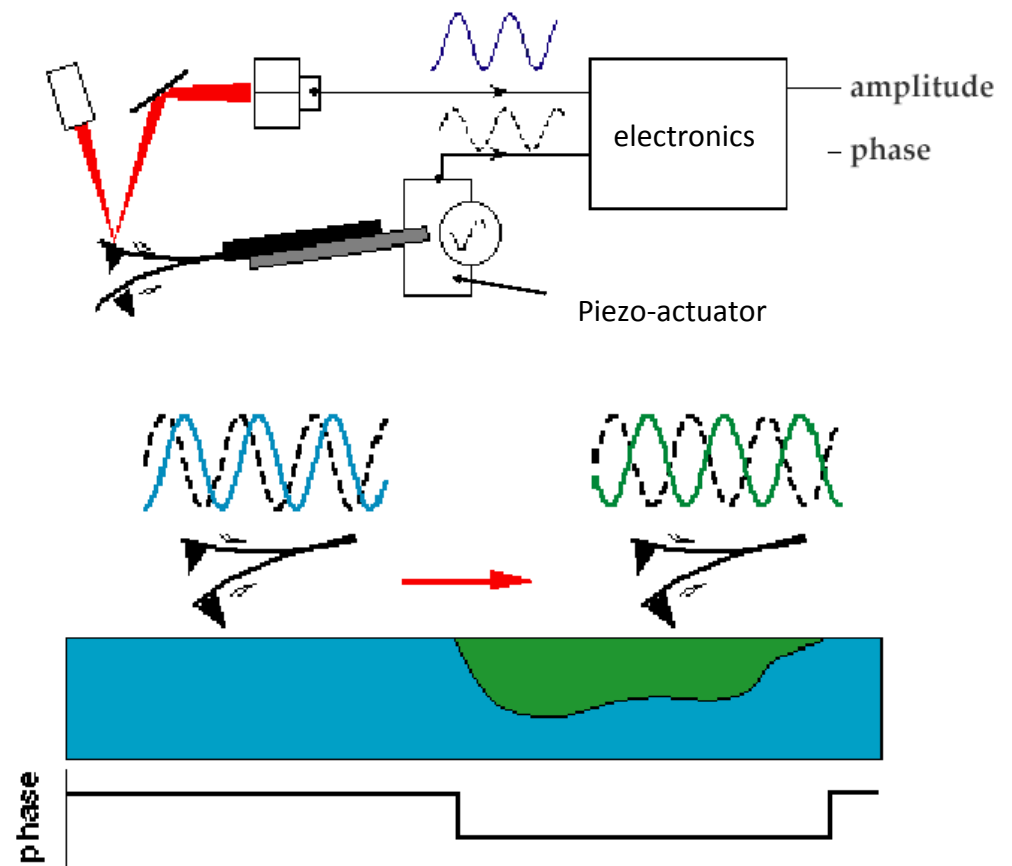
Image credit: Omicron

# Electric Force Microscopy (EFM)

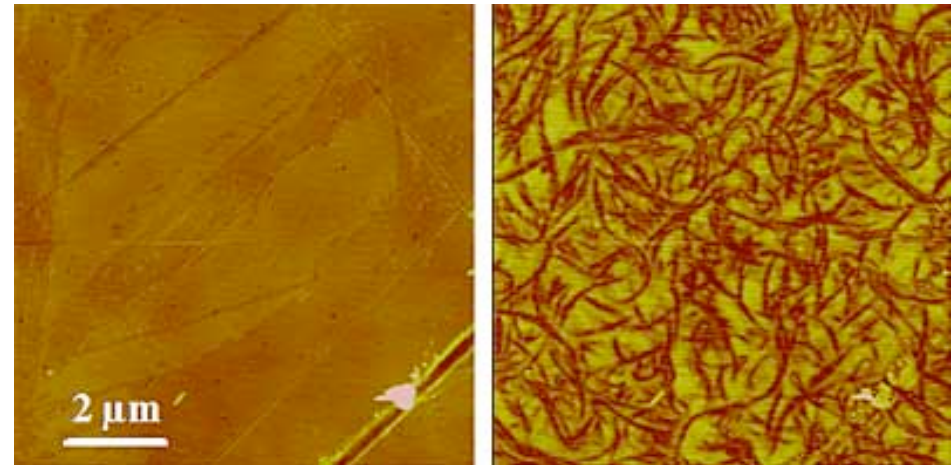
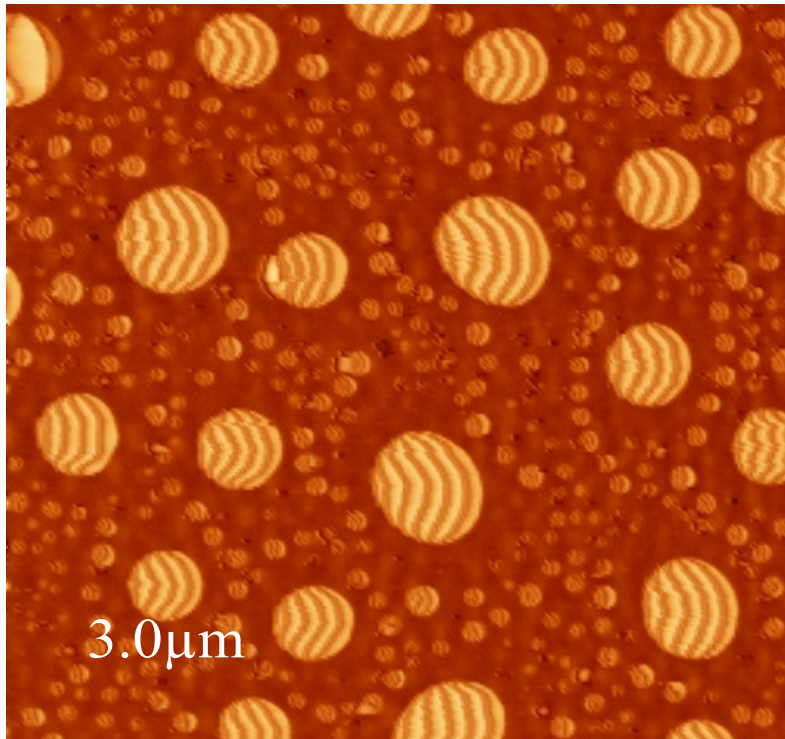


$$U = \frac{1}{2} CV^2 \Rightarrow F = \frac{1}{2} \frac{\partial C}{\partial z} V^2$$

Operates even in the absence of topographic variations



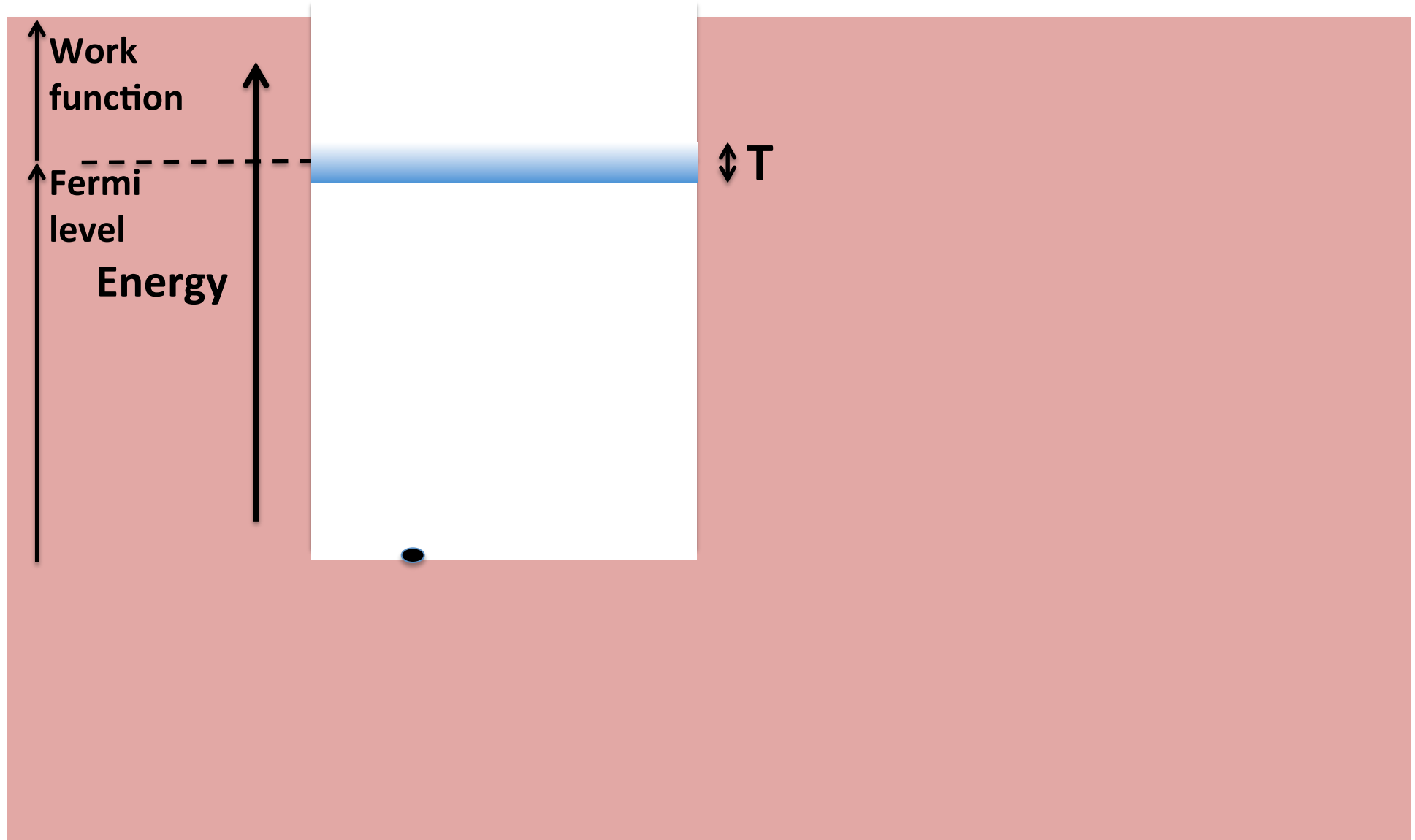
# Electric Force Microscopy (EFM)



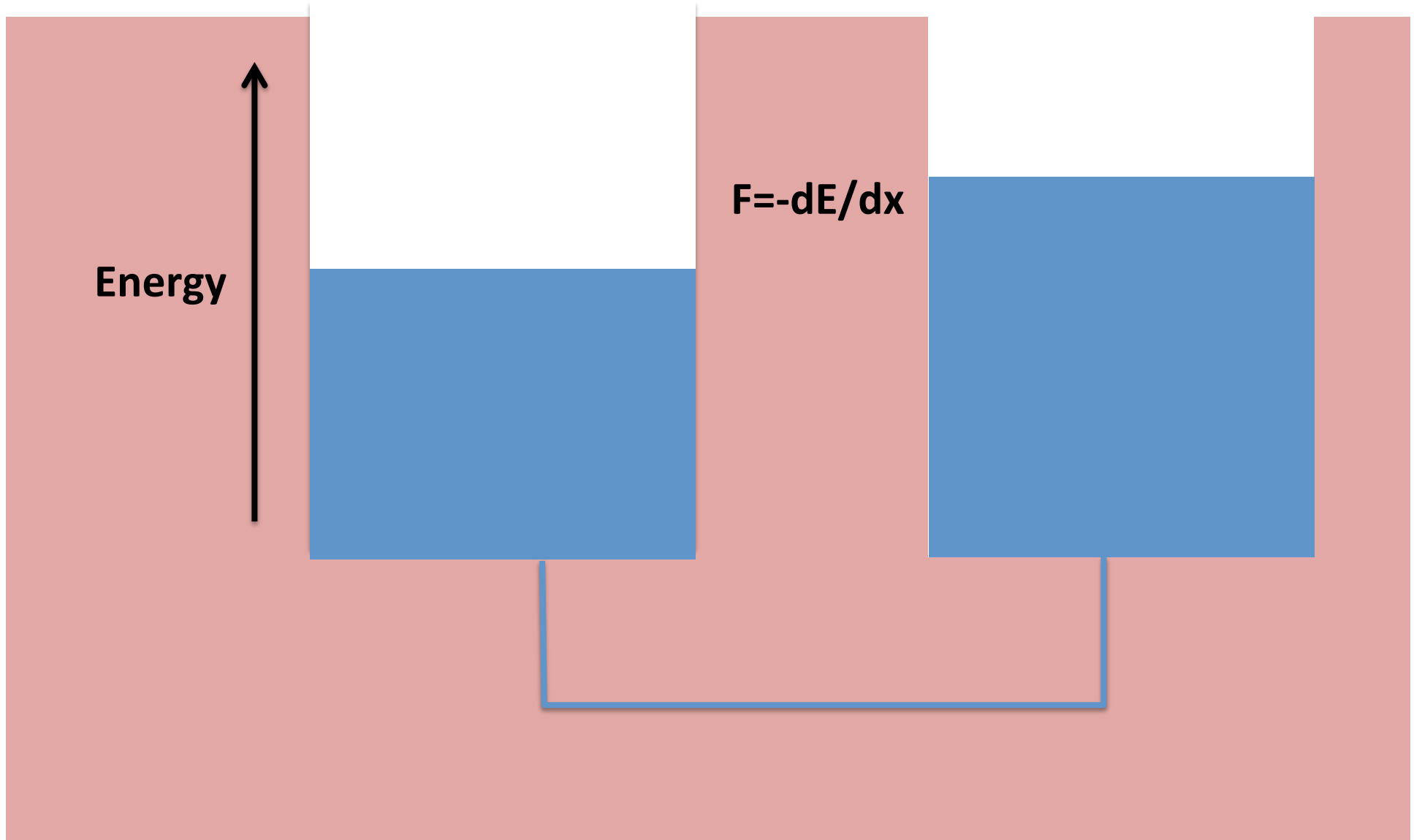
Subsurface imaging of carbon nanotubes in a polymer composite  
(Zhao, Nanotechnology, 2010)

EFM phase imaging of a thin layer made from a blend of polyfluorene and molten salt. Sample topography is totally flat  
(from T. Ouisse/ LMGP Grenoble)

# Fermi level and work function



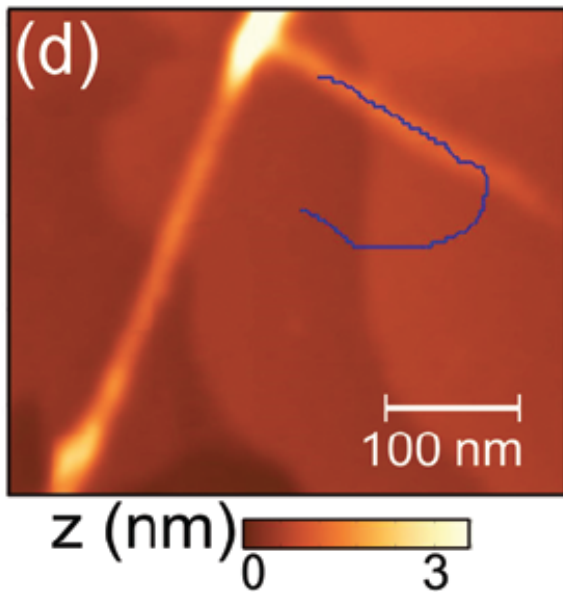
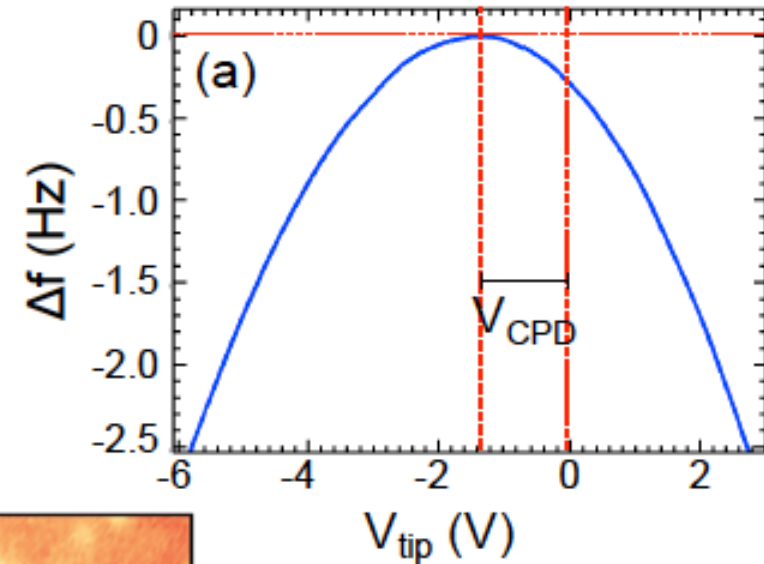
# Kelvin probe force microscopy



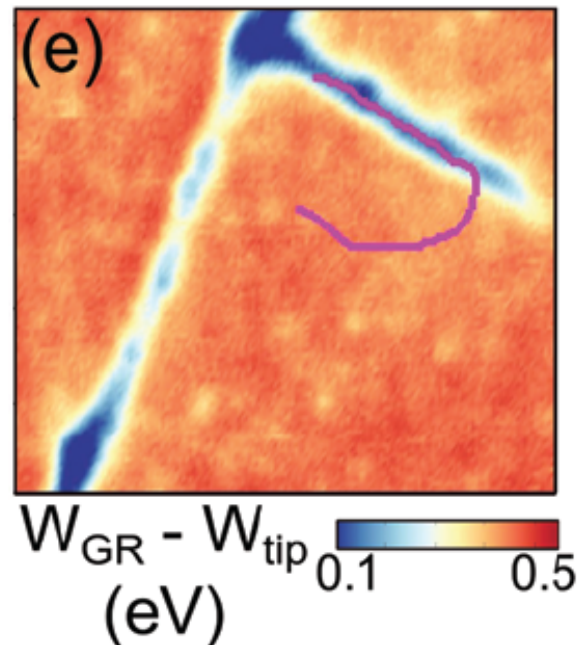
# Kelvin probe force microscopy

Force vs. bias curve of W tip on graphene:  
Contact potential difference

$$V_{\text{CPD}} = W_{\text{sample}} - W_{\text{tip}}$$



topography



Local workfunction

Graphene delaminations  
from Iridium substrate  
Samaddar *et al.*, *Nanoscale*  
2016