

Universal crossovers and critical dynamics
for quantum phase transitions in
impurity models

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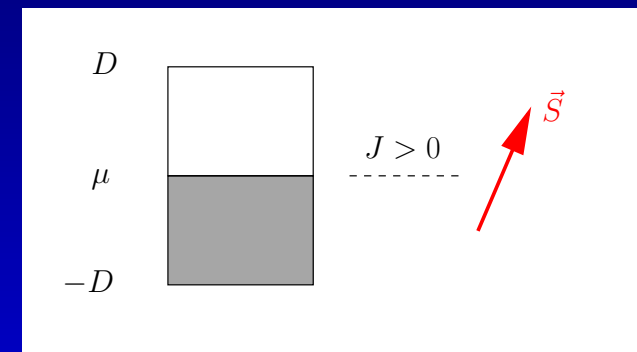
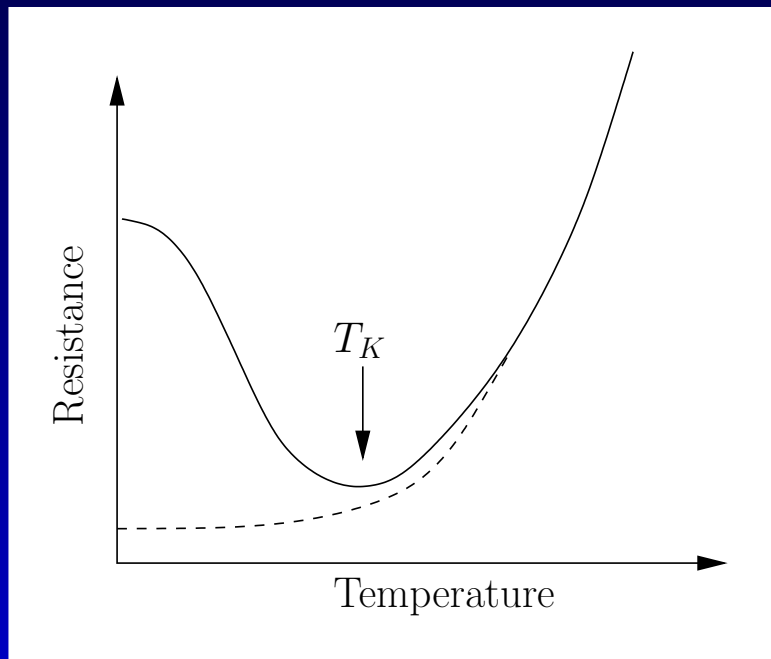
with: Lars Fritz and Matthias Vojta

Summary

- The Kondo problem in a pseudogap
- RG strategy and CS equations
- Computation of universal crossovers
- Critical dynamics
- Influence of p-h asymmetry
- Spin $S = 1$ case: NFL and QPT

Where it started

Diluted magnetic impurities in a metal:



- Temperature dependent impurity scattering

Kondo model: basics

Model: Spin 1/2 with AF coupling to a Fermi sea

$$H = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + J \vec{S} \cdot \sum_{\sigma\sigma'} c_\sigma^\dagger(0) \frac{\vec{\tau}_{\sigma\sigma'}}{2} c_{\sigma'}(0)$$

Physics: smooth crossover between two simple limits

Limit $J = 0$: local moment

- $S(T) = \log 2$ and $\chi_{imp}(T) = 1/(4T)$

Limit $J = \infty$: singlet state (screening)

- $S(T) = 0$ and $\chi_{imp}(T)$ finite

RG for Kondo problem

Perturbation theory ($j_0 = J/D$): badly convergent!

$$\begin{aligned}\chi_{imp}(T) &= \frac{1}{4T} \left[1 - j_0 - j_0^2 \log \frac{D}{T} + \dots \right] \\ &= \frac{1}{4T} \left[1 - \underbrace{j_0 + j_0^2 \log \lambda}_{-j_0(\lambda)} - j_0^2 \log \frac{\lambda D}{T} \right]\end{aligned}$$

Scaling form: $\chi_{imp}(T, j_0, D) = \chi_{imp}(T, j_0(\lambda), \lambda D)$

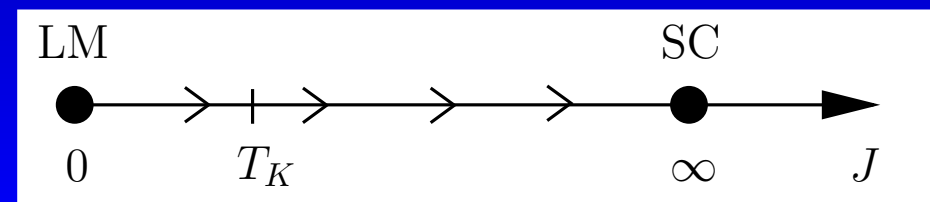
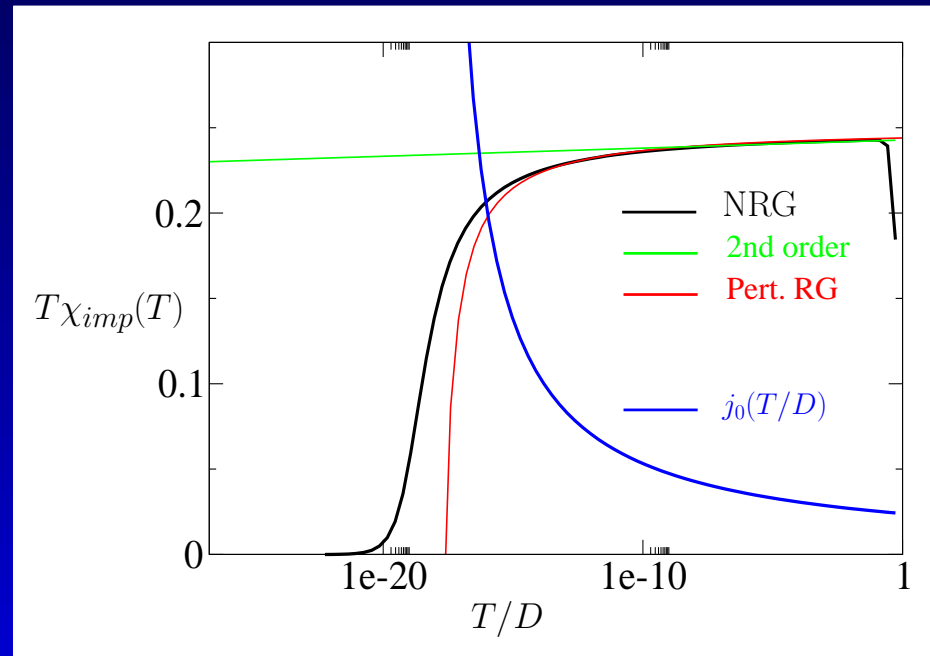
Iterate RG relation: $\beta(j_0) \equiv \frac{\partial j_0(\lambda)}{\partial \log \lambda} = -j_0^2(\lambda)$

$$\Rightarrow j_0(\lambda) = \frac{1}{\log(\lambda D/T_K)} \text{ with } T_K = D \exp(-1/j_0)$$

RG flow

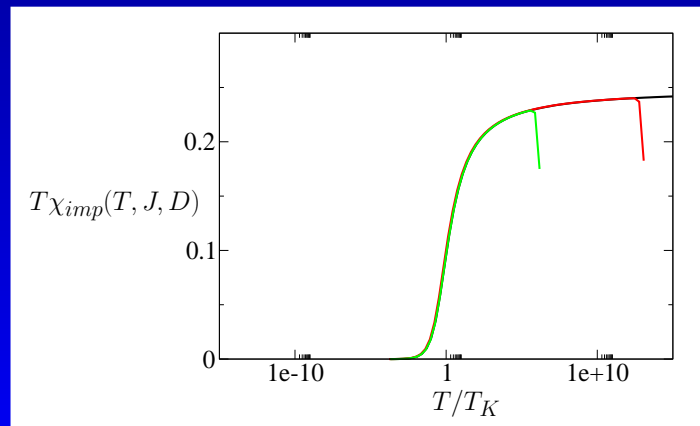
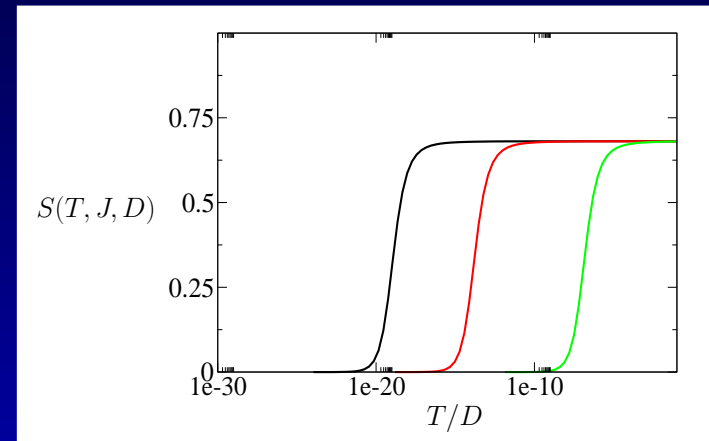
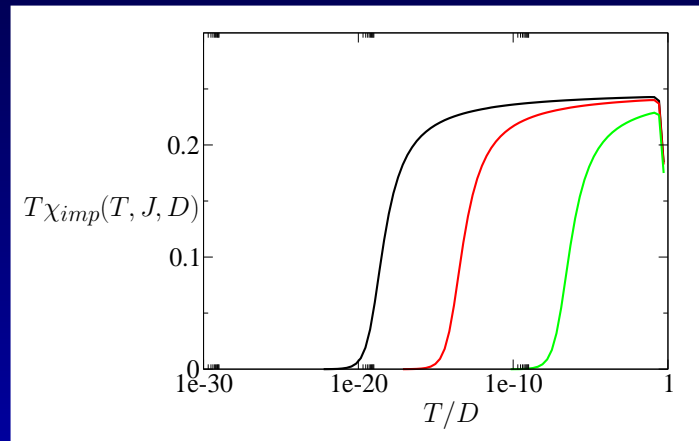
Trick: now we can choose $\lambda = T/D$

$$\chi_{imp}(T) = \frac{1}{4T} [1 - j_0(T/D)] = \frac{1}{4T} \left[1 - \frac{1}{\log(T/T_K)} \right]$$



Universality

$$\text{RG} \Rightarrow T\chi_{imp}(T, j_0, D) = \Phi(T/T_K)$$



Collapse at $T \ll D$

Another view on RG

Universality \Rightarrow take limit $D \rightarrow \infty$

Procedure: set $\chi_{imp}(T = \mu)$ finite at given scale μ

$$\chi_{imp}(T = \mu) = \frac{1}{4\mu} \left[1 - j_0 - j_0^2 \log \frac{D}{\mu} \right] \equiv \frac{1}{4\mu} [1 - j_R]$$

$$\Rightarrow \chi_{imp}(T) = \frac{1}{4T} \left[1 - j_R - j_R^2 \log \frac{\mu}{T} \right]$$

Scaling form: $\chi_{imp}(T, j_R, \mu) = \chi_{imp}(T, j_R(\lambda), \lambda\mu)$

RG: $j_R(\lambda) = \log^{-1}(\lambda\mu/T_K)$ and $T_K = \mu \exp(-1/j_R)$

Origin: Choice of μ arbitrary $\Rightarrow d\chi_{imp}/d\mu = 0$

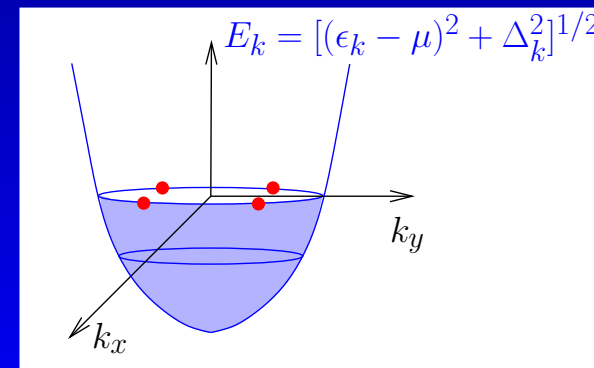
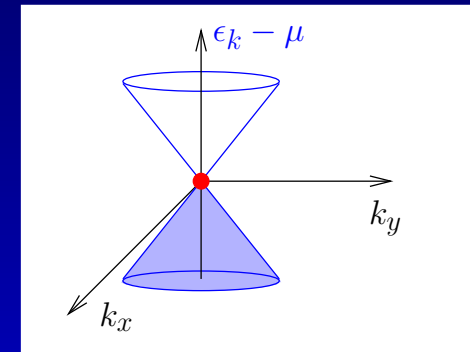
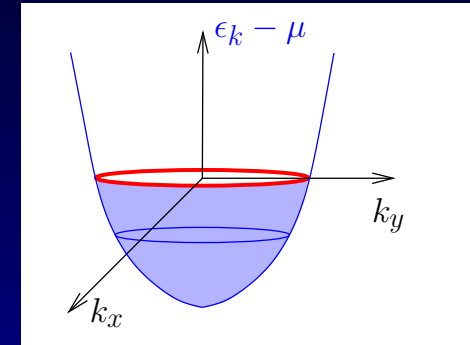
$$\Rightarrow \left[\mu \frac{\partial}{\partial \mu} + \beta(j_R) \frac{\partial}{\partial j_R} \right] \chi_{imp}(T, j_R, \mu) = 0 \quad (\text{CS eq.})$$

Pseudogap Kondo model

Gapless host DOS: $\rho(\epsilon) \propto |\epsilon|^r$

- Metals: $r = 0$
- Semiconductors: $r = 1$
- HTc SC: $r = 1$

$$\Delta_k = \Delta(\cos k_x - \cos k_y)$$



RG for pseudogap Kondo

Weak coupling RG: easy guess as $[J] = -r$

$$\beta(j_R) = \frac{\partial j_R(\lambda)}{\partial \log \lambda} = r j_R(\lambda) - j_R^2(\lambda)$$

Proper method:

- Set $j_0 = (D/\mu)^r Z_j j_R$
- Consider interaction vertex
$$\Gamma^R(\omega) = Z_j j_R [1 - |\omega/\mu|^r j_R/r + \dots]$$
- Impose $\Gamma^R(\omega = \mu) \equiv j_R = Z_j j_R [1 - j_R/r]$
- Absorb poles with $Z_j = 1 + j_R/r$
- But one has $dj_0/d\mu = 0$
$$\Rightarrow \beta(j_R) = r j_R - j_R \beta(j_R) \text{ dlog } Z_j / dj_R$$

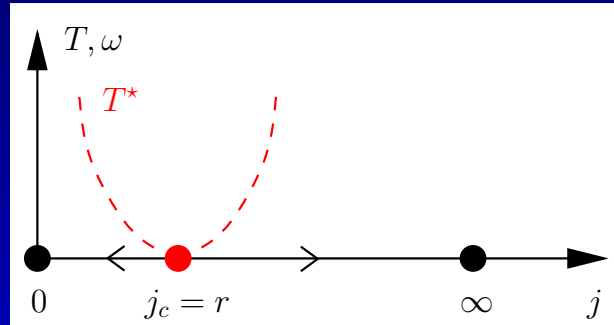
Quantum Phase Transition

Analyze flow equation: vary $\mu \rightarrow \lambda\mu$

Solve $\frac{\partial j_R(\lambda)}{\partial \log \lambda} = r j_R(\lambda) - j_R^2(\lambda)$ with $j_R(1) = j_R$

$$j_R(\lambda) = \frac{r j_R \lambda^r}{r - j_R + j_R \lambda^r} = \frac{r}{1 + \text{Sgn}(r - j_R) |\lambda\mu/T^*|^{-r}}$$

with $T^* \equiv \mu \left| \frac{r - j_R}{j_R} \right|^{1/r}$



- $j_R < r \Rightarrow j_R(\lambda) \propto \lambda^r$ for $\lambda\mu < T^*$ (flow to LM)
- $j_R = r \Rightarrow j_R(\lambda) = r$ for all λ (fixed point)
- $j_R > r \Rightarrow j_R(\lambda)$ diverges at T^* (flow to SC)

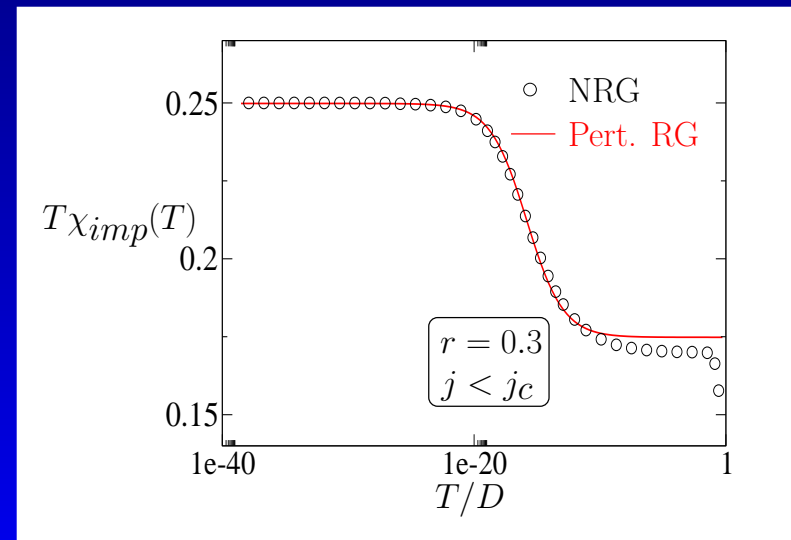
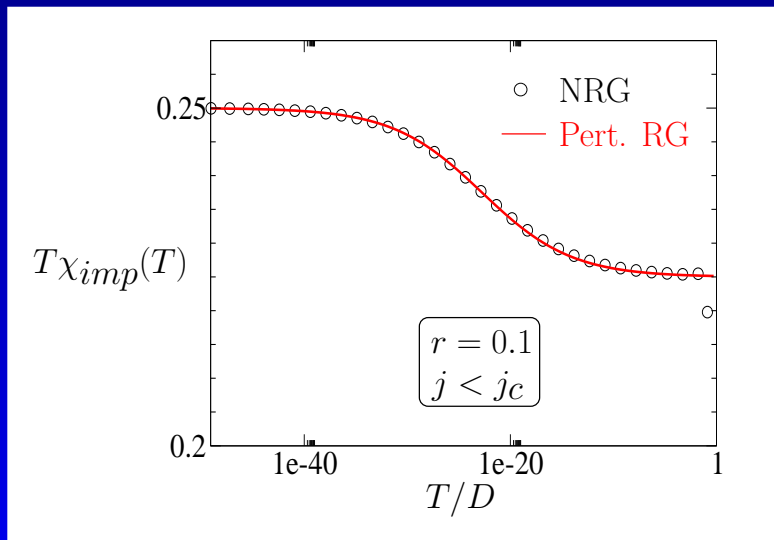
Impurity susceptibility

At lowest order: $\chi_{imp} = 1/(4T)[1 - j_R(T/\mu)]$

Quantum critical point: fractional spin

$$\chi_{imp}(T, j_R = j_c) \simeq \frac{1}{4T}(1 - r) \neq \frac{S(S + 1)}{3T}$$

Universal crossover: from CR to LM ($j < j_c$)



Local susceptibility

The direct computation is still divergent:

$$T\chi_{loc}^0(T) = 1 - j_R^2 |T/\mu|^{2r} (1/2r + \text{Cst.})$$

Needs extra renormalization factor:

$$\chi_{loc}^R(T) = Z_\chi \chi_{loc}^0(T) \text{ with } Z_\chi = 1 + j_R^2/2r$$

$$T\chi_{loc}^R(T) \simeq 1 - j_R^2 \log(T/\mu)$$

Scaling analysis: $\chi_{loc}^0(T)$ is independent of μ

$$\Rightarrow \left[\mu \frac{\partial}{\partial \mu} + \beta(j_R) \frac{\partial}{\partial j_R} + \eta_\chi(j_R) \right] \chi_{loc}^R(T, j_R, \mu) = 0$$

$$\text{with } \eta_\chi(j_R) = \beta(j_R) \frac{\partial \log Z_\chi}{\partial j_R} = j_R^2$$

Solution:

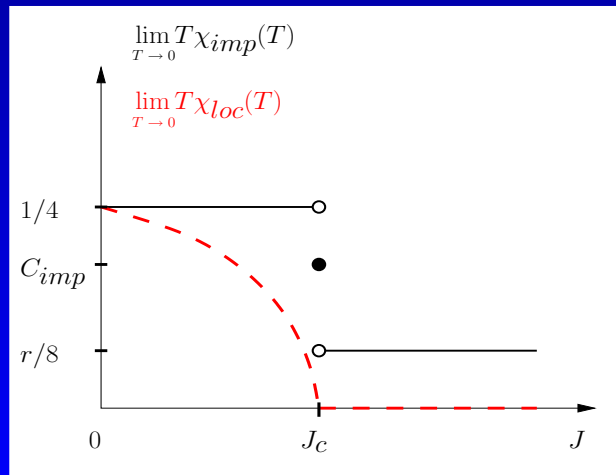
$$\chi_{loc}^R(T, j_R, \mu) = \exp\left(\int_{j_R}^{j_R(\lambda)} \frac{\eta_X}{\beta}\right) \chi_{loc}^R(T, j_R(\lambda), \lambda\mu)$$

Choose $\lambda = T/\mu$:

$$T\chi_{loc}^R(T, j_R, \mu) \propto \left[r - j_R + \left|\frac{T}{\mu}\right|^r\right]^r$$

Interpretation:

- $j_R < r$: $T\chi_{loc}^R \propto (r - j_R)^r$ (order parameter)
- $j_R = r$: $\chi_{loc}^R(T) \propto 1/T^{1-r^2}$ (anomalous behavior)



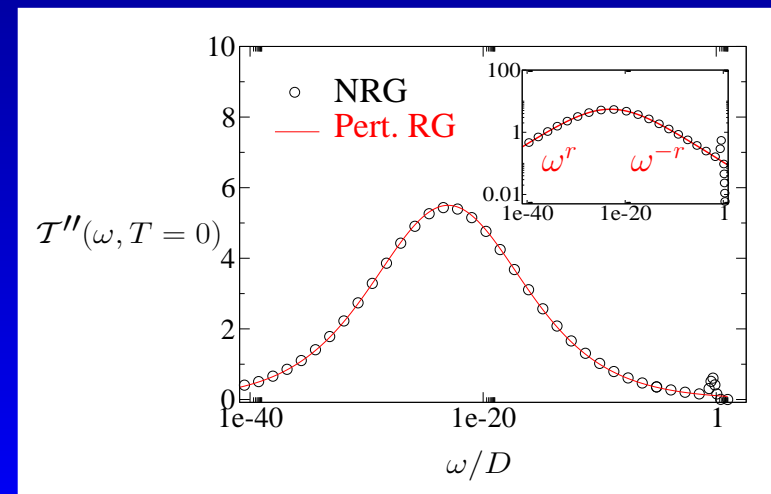
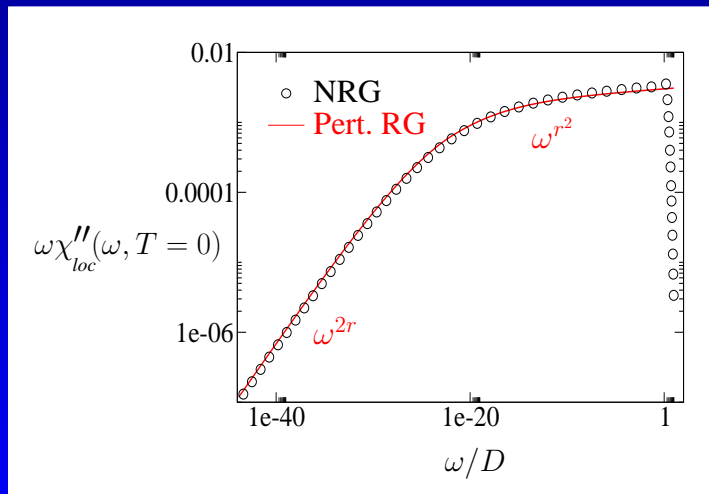
Two vastly
different
susceptibilities

Zero temperature dynamics

Strategy:

- Compute in renormalized perturbation theory
- Choose RG scale λ to control the expansion
- Apply CS equation

Example: for $r = 0.1$ and $j < j_c$



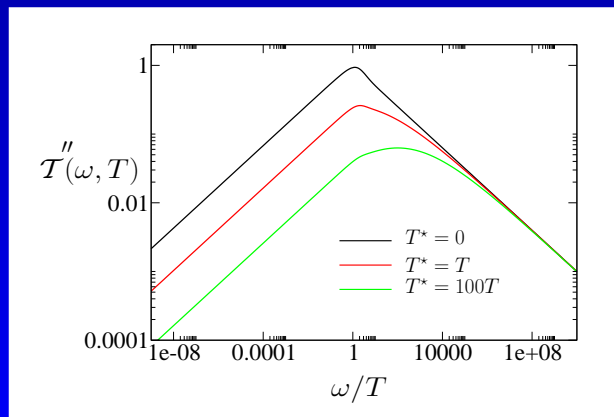
Finite temperature dynamics

Problem: “The ϵ -expansion and the analytic continuation do NOT commute for $\omega \ll T$ ” (Sachdev)

Let's try: we apply CS in this regime to the T-matrix

$$T''(\omega) \simeq \frac{\omega^r}{[(T^*)^r \pm (T + |\omega|)^r]^2} \quad (\text{not too bad})$$

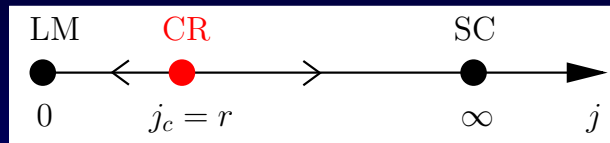
$$T''(\omega) \underset{r \rightarrow 0}{\simeq} \frac{1}{[\log((T + |\omega|)/T_K)]^2} \quad (\text{BAD!})$$



Still a challenge
for the NRG
at $\omega \sim T$

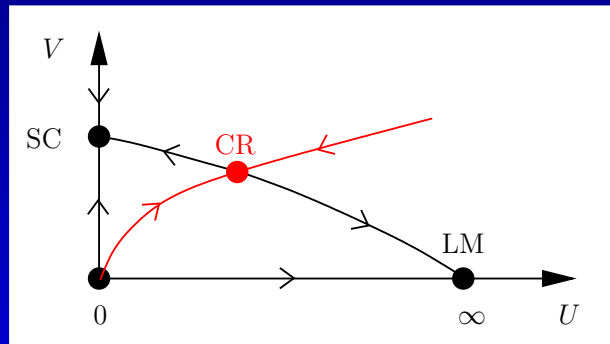
On the other side of the street

Question: Can we capture the crossover to SC?



Answer: not with Kondo, but Anderson is good!

$$H = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + U n_{d\uparrow} n_{d\downarrow} + \sum_{\sigma} V [d_{\sigma}^\dagger c_{\sigma}(0) + H.c.]$$



Scaling analysis: $[U] = 2r - 1 \equiv -\epsilon$

\Rightarrow Perform ϵ -expansion near $r = 1/2$!!

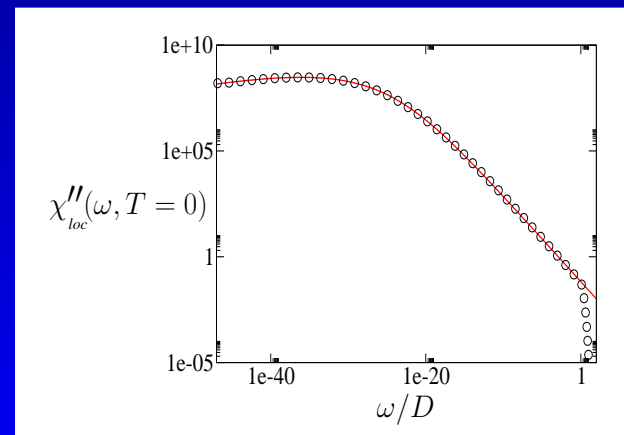
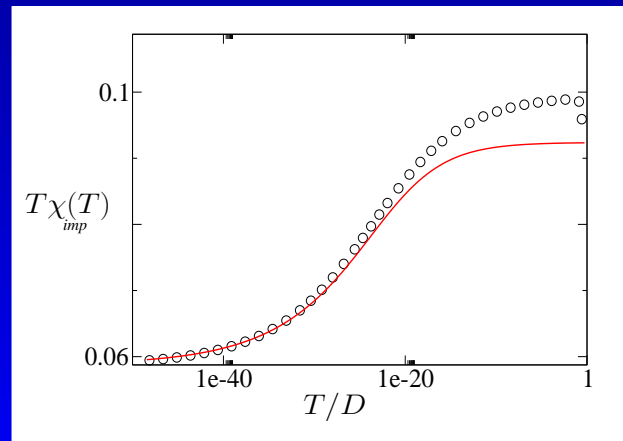
RG for pseudogap Anderson

Flow equation:

$$\beta(u) = \epsilon u - \frac{3(\pi - 2\ln 4)}{\pi^2} u^3$$

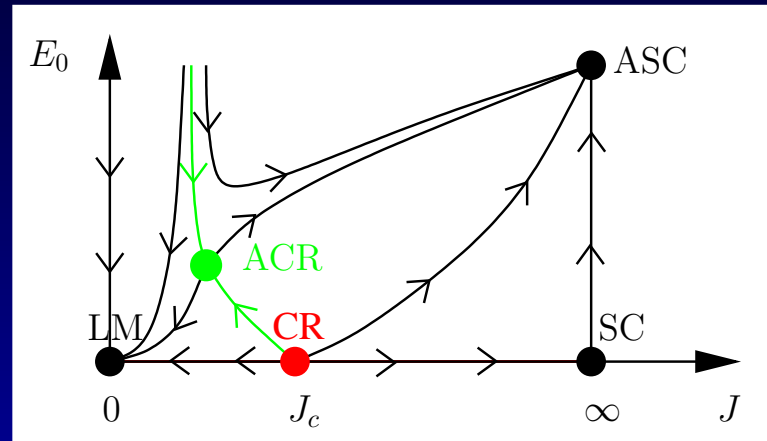
Critical point at $u_c \propto \sqrt{\epsilon} \Rightarrow$ Poor convergence

Example: for $r = 0.47$ and $j > j_c$



With particle-hole asymmetry

NRG result: for $0.375 < r < 1$



Effective theory: $U = \infty$ Anderson model

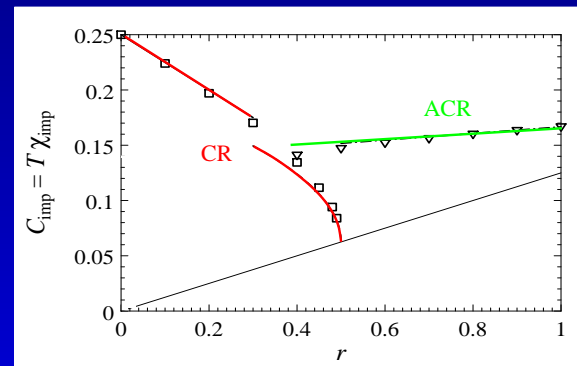
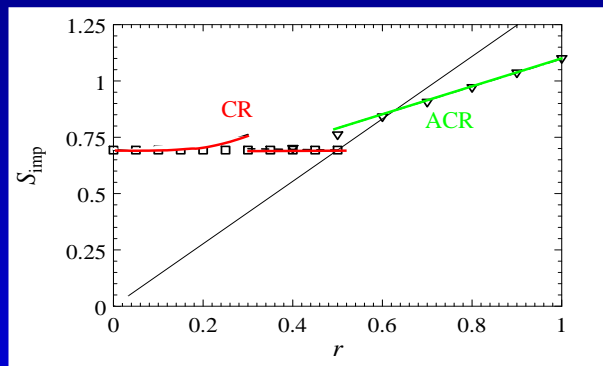
$$H = \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \epsilon_f \sum_{\sigma} f_{\sigma}^\dagger f_{\sigma} + V \sum_{k\sigma} [f_{\sigma}^\dagger b c_{k\sigma} + \text{h.c.}]$$

RG: V marginal at $r = 1 \Rightarrow$ expansion in $\epsilon' = 1 - r$

Interpretation:

- Kondo and Anderson: same universality class
- Origin of the asymmetric QCP: **competition** doublet (spinon) vs. singlet (holon) states

$$\begin{cases} S_{\text{imp}}^{\text{ACR}} = \ln 3 - \frac{8 \ln 2}{9} (1 - r) \\ T\chi_{\text{imp}}^{\text{ACR}} = \frac{1}{6} - \frac{3 - 2 \ln 2}{18} (1 - r) \end{cases}$$



Open problem: transition between **CR** and **SCR**

Interesting generalization

- Spin $S=1$ Kondo model
- ACR persists with $S_{imp} = \ln 5$ at $r = 1$

Effective theory: crossing triplet-doublet

$$\begin{aligned} H = & \sum_{k\sigma} \epsilon_k c_{k\sigma}^\dagger c_{k\sigma} + \epsilon_f \sum_m f_m^\dagger f_m \\ & + V \sum_k [f_0^\dagger b_\downarrow c_{k\uparrow} + f_0^\dagger b_\uparrow c_{k\downarrow}] + \text{h.c.} \\ & + \sqrt{2}V [f_1^\dagger b_\uparrow c_{k\uparrow} + f_{-1}^\dagger b_\downarrow c_{k\downarrow}] + \text{h.c.} \end{aligned}$$

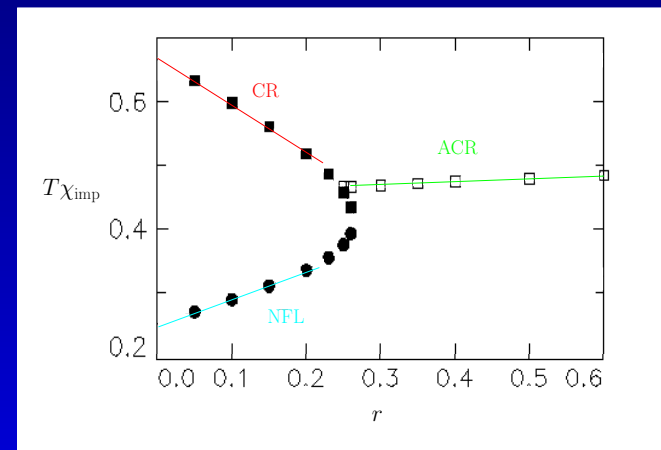
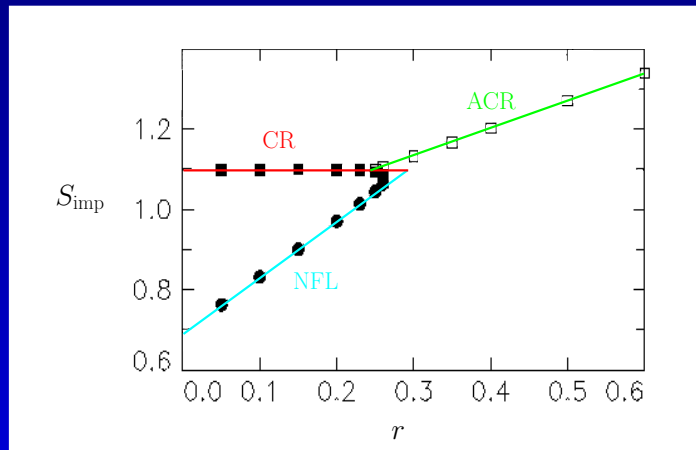
Reproduces Kondo for $\epsilon_f \rightarrow -\infty$

Crucial to introduce the good degrees of freedom!!

Check

Results: properties of the ACR critical point

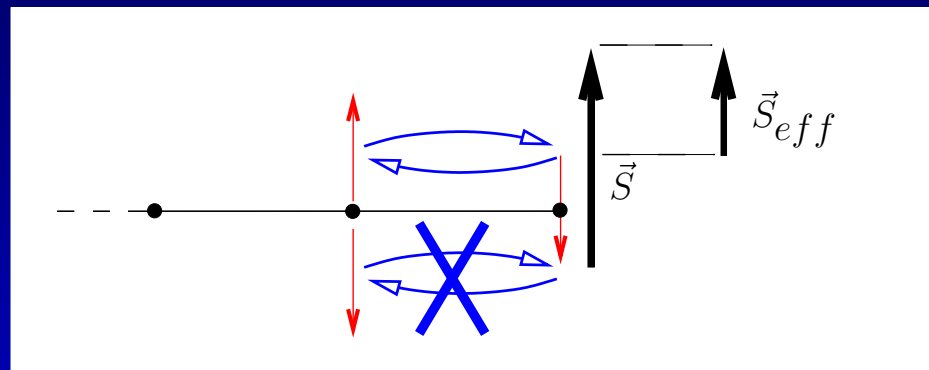
$$\begin{cases} S_{\text{imp}}^{\text{ACR}} = \ln 5 - \frac{24 \ln 2}{25} (1 - r) \\ T\chi_{\text{imp}}^{\text{ACR}} = \frac{1}{2} - \frac{3 - 2 \ln 2}{10} (1 - r) \end{cases}$$



$S = 1$ PG Kondo at p-h sym

Strong coupling limit:

- effective spin $S_{\text{eff}} = 1/2$
- effective FERRO coupling $j_{\text{eff}} = -1/j < 0$



- effective bath exponent $r_{\text{eff}} = -r < 0$

$$\langle c_{\sigma}^{\dagger}(\mathbf{0}, i\omega) c_{\sigma}(\mathbf{0}, \omega) \rangle^{-1} = i\omega - t_{01}^2 \langle c_{\sigma}^{\dagger}(\mathbf{1}, i\omega) c_{\sigma}(\mathbf{1}, \omega) \rangle$$

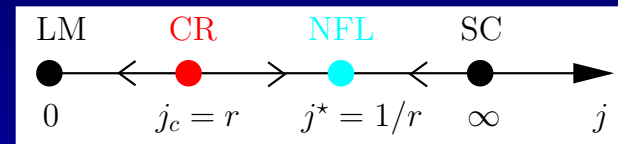
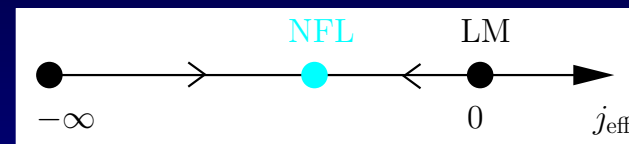
Extra NFL fixed point

RG: usual Kondo scaling equation

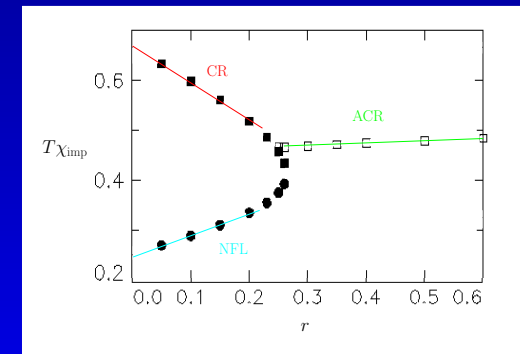
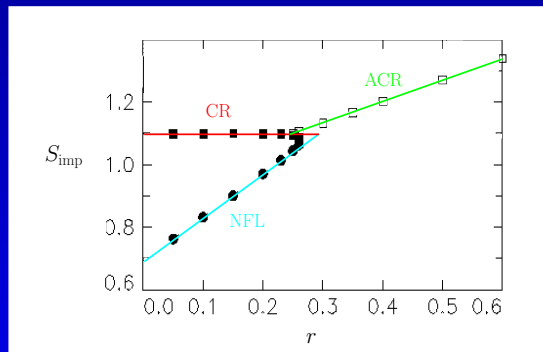
$$\frac{\partial j_{\text{eff}}}{\partial \ln \Lambda} = r_{\text{eff}} j_{\text{eff}} - j_{\text{eff}}^2$$

$$\Rightarrow j_{\text{eff}}^* = r_{\text{eff}} = -r$$

$$\Rightarrow j^* = 1/r$$



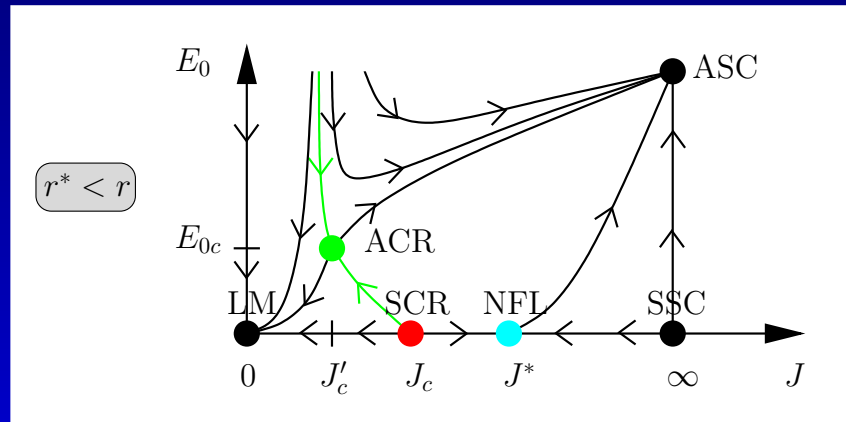
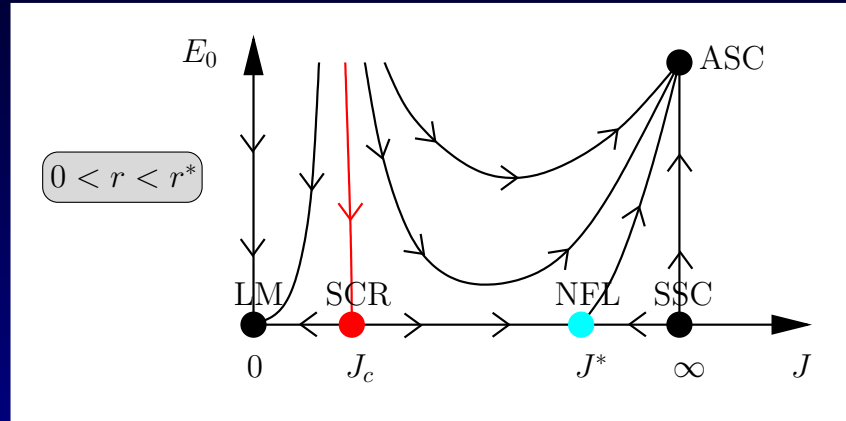
Critical properties:



$$S_{\text{imp}}^{\text{NFL}} = \ln 2 + 2r \ln 2$$

$$T\chi_{\text{imp}}^{\text{NFL}} = 1/4 + 3r/8$$

Summary $S = 1$ PG Kondo



Conclusion

- Quantum phase transition in pseudogap Kondo: well under control!
- Computation of universal crossover possible
- Quantitative comparison between NRG and perturbative RG
- Low ω dynamics not fully controlled
 \Rightarrow apply functional RG?
- Transition between critical points not understood